

PHOTORESPONSIVE HYDROGELS

I. CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims benefit of priority to U. S. Provisional Application No. 60/538,687, filed January 23, 2004, which is incorporated by reference herein in its entirety.

II. BACKGROUND

Recent advances in materials science have stimulated tremendous activity in immobilizing or encapsulating cells, nutraceuticals, flavors, pharmaceuticals, and other materials for a host of applications (*see e.g.*, Willaert and Baron, *Rev. Chem. Eng.* 12:5-205 (1996); Lanza, et al., *Principles of Tissue Engineering* (Academic Press, San Diego, 2000); Chaikof, *Annu. Rev. Biomed. Eng.* 1:103-127 (1999); Bodeutsch, et al., *Plant Cell Reports* 20:562-566 (2001); Decamps, et al., *Aiche Journal* 50:1599-1605 (2004); Bergers and Hanahan, *Nature Biotech* 19:20-21 (2001); Desai, et al., *Biomol. Eng.* 17:23-26 (2000); Park, et al., *Biotechnol. Adv.* 18:303-319 (2000); Orive, et al., *Trends Biotechnol.* 22:87 (2004); Green, et al., *Biotechnology and Bioengineering* 49:535-543 (1996); Gref, et al., *Science* 263:1600 (1994); Mills and Needham, *Expert Opin. Ther. Patents* 9:1499-1513 (1999); Gouin, *Trends Food Sci. Technol.* 15:330-347 (2004); Re, *Drying Technology* 16:1195-1236 (1998); Dziezak, *Food Technology-Chicago* 42:136-151 (1988); Gibbs, et al., *Int. J. Food Sci. Nutr.* 50:213-224 (1999); Dinsmore, et al., *Science* 298:1006 (2002)). In general, the goals of such research is to construct a composition or device that allows independent control of the capsule size and surface chemistry, and the permeability of select agents. A particularly attractive goal is the ability to stimulate the release of encapsulated materials on demand and reversibly.

With encapsulated cells, the advantages of encapsulation include increased biocatalytic efficiency and lifetime, as well as increased ease of handling and separation from the products. Nutrients and waste products can be rapidly exchanged, yet the cells are protected against shear stresses, which could suppress their output. With nutrients, drugs, or flavors, the advantages of encapsulation include the possibility of protecting the materials from chemical degradation and releasing the materials at the optimal time or location for more efficient delivery.

Despite a host of recent advances, however, current approaches to encapsulation generally suffer from expense, toxicity, or a lack of control of the capsule architecture at the scale of about 10 to about 100 nm. Although the capsules themselves can be about 10's of micrometers or larger in size, the pore sizes can be in the nanometer range. Controlling the nanoscale pore sizes and shapes can be desirable to achieve selective permeability of cells, macromolecules, and other kinds of agents (*e.g.*, nutraceuticals or pharmaceuticals). Therefore, what are needed are compositions that can be used to encapsulate a wide variety of materials and that can have their properties controlled by various means. The compositions and methods disclosed herein meet these needs.

III. SUMMARY

In accordance with the purposes of the disclosed materials, compounds, compositions, articles, and methods, as embodied and broadly described herein, the disclosed subject matter, in one aspect, relates to compounds and compositions and methods for preparing and using such compounds and compositions. In another aspect, disclosed herein are photoresponsive hydrogels and methods for preparing compositions thereof. The disclosed subject matter also related to methods of using the disclosed compositions to deliver pharmaceutical actives.

The advantages described below will be realized and attained by means of the elements and combinations particularly pointed out in the appended claims. It is to be understood that both the foregoing general description and the following detailed description are exemplary and explanatory only and are not restrictive.

IV. BRIEF DESCRIPTION OF THE FIGURES

The accompanying figures, which are incorporated in and constitute a part of this specification, illustrate several aspects described below.

Figure 1 is an arrangement of several images of colloidosomes. Panel (a) is an optical micrograph (brightfield) of yeast cells in a colloidosome in aqueous broth solution. Panel (b) is a scanning electron micrograph of empty colloidosomes in vacuum, demonstrating the morphology of the pores (Dinsmore, et al., *Science* 298:1006 (2002)). Panel (c) is a further magnification of one region of the colloidosome, showing the pores. Experiments in solution showed that molecules can indeed permeate the pores (*Id.*).

Figure 2 (top) is an illustration of the closed and open states of a spiropyran (SP) compound, before and after protonation. Figure 2 (bottom) is a schematic of the

photoresponsive gel chemistry along with approximate mole % of each monomer: PNIPAAm ($n=100$); N,N'-methylenebisacrylamide ($n'=2$); open, protonated spiropyran ($n''=1$).

Figure 3 is a graph of hydrodynamic radius distributions ($f(R_h)$) of PNIPAAm-SP nanoparticles under dark in deionized water with different synthesis conditions. The LLS measurements were at a 60° scattering angle. Batch 1 SP was dissolved in deionized water. Batch 2 SP was dissolved in about pH 8 NaOH / deionized water solution.

Figure 4 is a pair of graphs showing the hydrodynamic radius ($f(R_h)$) of PNIPAAm-spiropyran (SP) nanoparticles, measured by dynamic light scattering at a scattering angle of 60° . The top graph shows that the PNIPAAm-SP nanoparticles swell as light conditions change from dark to UV and to visible irradiation at 21°C . The bottom graph shows that the PNIPAAm-SP nanoparticles change their size in response to different pH at 31°C in the dark.

Figure 5 is a graph showing the thermally responsive behavior of PNIPAAm-SP nanoparticles. The top graph shows the average hydrodynamic radius R_h of PNIPAAm-SP nanoparticles at different pH value as a function of temperature under dark. The bottom graph shows the temperature dependent average hydrodynamic radius R_h of PNIPAAm-SP nanoparticles under different light conditions as a function of temperature in deionized water.

Figure 6 is a photograph of 8 weight % PNIPAAm-SP nanoparticles with different pH at 21°C . Panel (a) is at about pH 3, panel (b) is deionized water, panel (c) is at about pH 9.

Figure 7 is a graph of 8 weight % PNIPAAm-SP nanoparticles in water under dark at different temperatures. From bottom to top, the lines in the graph are at 27°C , 31°C , 32°C , 33°C , and 34°C , respectively.

Figure 8 is a schematic of the mechanism of ICAM-1 AS-ODN treatment.

Figure 9 is a schematic of the use of photoactive nanogels as gene carriers.

V. DETAILED DESCRIPTION

The materials, compositions, articles, devices, and methods described herein may be understood more readily by reference to the following detailed description of specific aspects of the disclosed subject matter, and methods and the Examples included therein and to the Figures and their previous and following description.

Before the present materials, compositions, articles, devices, and methods are disclosed and described, it is to be understood that the aspects described below are not limited to specific synthetic methods or specific reagents, as such may, of course, vary. It is also to be understood that the terminology used herein is for the purpose of
5 describing particular aspects only and is not intended to be limiting.

Also, throughout this specification, various publications are referenced. The disclosures of these publications in their entireties are hereby incorporated by reference into this application in order to more fully describe the state of the art to which the disclosed subject matter pertains. The references disclosed are also
10 individually and specifically incorporated by reference herein for the material contained in them that is discussed in the sentence in which the reference is relied upon.

1. General Definitions

In this specification and in the claims that follow, reference will be made to a
15 number of terms, which shall be defined to have the following meanings:

As used in the specification and the appended claims, the singular forms “a,” “an,” and “the” include plural referents unless the context clearly dictates otherwise. Thus, for example, reference to “a compound” includes mixtures of two or more such compounds, reference to “an agent” includes mixtures of two or more such agents,
20 reference to “the nanoparticle” includes mixtures of two or more such nanoparticles, and the like.

Throughout the specification and claims, the word “comprise” and variations of the word, such as “comprising” and “comprises,” means “including but not limited to,” and is not intended to exclude, for example, other additives, components, integers
25 or steps.

“Optional” or “optionally” means that the subsequently described event or circumstance can or cannot occur, and that the description includes instances where the event or circumstance occurs and instances where it does not.

Ranges can be expressed herein as from “about” one particular value, and/or
30 to “about” another particular value. When such a range is expressed, another embodiment includes from the one particular value and/or to the other particular value. Similarly, when values are expressed as approximations, by use of the antecedent “about,” it will be understood that the particular value forms another embodiment. It will be further understood that the endpoints of each of the ranges are

significant both in relation to the other endpoint, and independently of the other endpoint. It is also understood that there are a number of values disclosed herein, and that each value is also herein disclosed as “about” that particular value in addition to the value itself. For example, if the value “10” is disclosed, then “about 10” is also disclosed. It is also understood that when a value is disclosed that “less than or equal to” the value, “greater than or equal to the value” and possible ranges between values are also disclosed, as appropriately understood by the skilled artisan. For example, if the value “10” is disclosed the “less than or equal to 10” as well as “greater than or equal to 10” is also disclosed. It is also understood that the throughout the application, data is provided in a number of different formats, and that this data, represents endpoints and starting points, and ranges for any combination of the data points. For example, if a particular data point “10” and a particular data point “15” are disclosed, it is understood that greater than, greater than or equal to, less than, less than or equal to, and equal to 10 and 15 are considered disclosed as well as between 10 and 15. It is also understood that each unit between two particular units are also disclosed. For example, if 10 and 15 are disclosed, then 11, 12, 13, and 14 are also disclosed.

References in the specification and claims to parts by weight of a particular element or component in a composition or article denotes the weight relationship between the element or component and any other elements or components in the composition or article for which a part by weight is expressed. Thus, in a compound containing 2 parts by weight of component X and 5 parts by weight component Y, X and Y are present at a weight ratio of 2:5, and are present in such ratio regardless of whether additional components are contained in the compound.

A weight percent of a component, unless specifically stated to the contrary, is based on the total weight of the formulation or composition in which the component is included.

As used herein, by a “subject” is meant an individual. Thus, the “subject” can include domesticated animals (*e.g.*, cats, dogs, etc.), livestock (*e.g.*, cattle, horses, pigs, sheep, goats, etc.), laboratory animals (*e.g.*, mouse, rabbit, rat, guinea pig, etc.), and birds. “Subject” can also include a mammal, such as a primate or a human.

By “hydrogel” is meant a composition comprising a polymeric dispersed phase in aqueous dispersion medium (*i.e.*, continuous phase). The dispersed phase can be an amorphous network of polymers or discrete hydrogel precursors. When the

dispersed phase comprises nanoscale hydrogel precursors of from about 1 to about 1000 nm, the hydrogel can be termed a “nanogel.” When the dispersed phase comprises microscale particles of from about 1 to about 5 micrometer, the hydrogel can be termed a “microgel.” The term “colloidosome” can also be used to describe a particular hydrogel where the dispersed phase comprises spherical, densely-packed nano-or microscale particles. As used herein, the term “hydrogel” is meant to include and is used interchangeably with the terms “nanogels,” “microgels,” “colloidosomes,” and mixtures thereof. The terms are also used herein to refer to the polymeric dispersed phase alone, in the absence of the aqueous continuous phase.

2. Chemical Definitions

As used herein, the term “substituted” is contemplated to include all permissible substituents of organic compounds. In a broad aspect, the permissible substituents include acyclic and cyclic, branched and unbranched, carbocyclic and heterocyclic, and aromatic and nonaromatic substituents of organic compounds. Illustrative substituents include, for example, those described below. The permissible substituents can be one or more and the same or different for appropriate organic compounds. For purposes of this disclosure, the heteroatoms, such as nitrogen, can have hydrogen substituents and/or any permissible substituents of organic compounds described herein which satisfy the valencies of the heteroatoms. This disclosure is not intended to be limited in any manner by the permissible substituents of organic compounds. Also, the terms “substitution” or “substituted with” include the implicit proviso that such substitution is in accordance with permitted valence of the substituted atom and the substituent, and that the substitution results in a stable compound, *e.g.*, a compound that does not spontaneously undergo transformation such as by rearrangement, cyclization, elimination, etc. Also, as used herein “substitution” or “substituted with” is meant to encompass configurations where one substituent is fused to another substituent. For example, an alkyl group substituted with an aryl group can mean that the aryl group is bonded to the alkyl group via a single sigma bond and also that the aryl group and alkyl group are fused, *e.g.*, two carbons of the alkyl group are shared with two carbons of the aryl group.

“A,” “A¹,” “A²,” “A³,” and “A⁴” are used herein as generic symbols to represent various specific substituents. These symbols can be any substituent, not limited to those disclosed herein, and when they are defined to be certain substituents

in one sentence does not mean that, in another sentence, they cannot be defined as other substituents.

The term "alkyl" as used herein is a branched or unbranched saturated hydrocarbon group of 1 to 24 carbon atoms, such as methyl, ethyl, n-propyl, isopropyl, n-butyl, isobutyl, t-butyl, pentyl, hexyl, heptyl, octyl, nonyl, decyl, dodecyl, tetradecyl, hexadecyl, eicosyl, tetracosyl, and the like. The alkyl group can also be substituted or unsubstituted. The alkyl group can be substituted with one or more groups including, but not limited to, alkyl, halogenated alkyl, alkoxy, alkenyl, alkynyl, aryl, heteroaryl, aldehyde, amino, carboxylic acid, ester, ether, halide, hydroxy, ketone, sulfo-oxo, sulfonylamino, nitro, silyl, or thiol, as described below.

Throughout the specification "alkyl" is generally used to refer to both unsubstituted alkyl groups and substituted alkyl groups; however, substituted alkyl groups are also specifically referred to herein by identifying the specific substituent(s) on the alkyl group. For example, the term "halogenated alkyl" specifically refers to an alkyl group that is substituted with one or more halide, *e.g.*, fluorine, chlorine, bromine, or iodine. The term "alkylalcohol" specifically refers to an alkyl group that is substituted with one or more hydroxyl groups, as described below, and the like. When "alkyl" is used in one sentence and a specific term such as "alkylalcohol" is used in another, it is not meant to imply that the term "alkyl" does not also refer to specific terms such as "alkylalcohol" and the like.

This practice is also used for other groups described herein. That is, while a term such as "cycloalkyl" refers to both unsubstituted and substituted cycloalkyl moieties, the substituted moieties can, in addition, be specifically identified herein; for example, a particular substituted cycloalkyl can be referred to as, *e.g.*, an "alkylcycloalkyl." Similarly, a substituted alkoxy can be specifically referred to as, *e.g.*, a "halogenated alkoxy," a particular substituted alkenyl can be, *e.g.*, an "alkenylalcohol," and the like. Again, the practice of using a general term, such as "cycloalkyl," and a specific term, such as "alkylcycloalkyl," is not meant to imply that the general term does not also include the specific term.

The term "alkoxy" as used herein is an alkyl group bound through a single, terminal ether linkage; that is, an "alkoxy" group may be defined as -OA where A is alkyl as defined above.

The term "alkenyl" as used herein is a hydrocarbon group of from 2 to 24 carbon atoms with a structural formula containing at least one carbon-carbon double

bond. Asymmetric structures such as $(A^1A^2)C=C(A^3A^4)$ are intended to include both the *E* and *Z* isomers. This may be presumed in structural formulae herein wherein an asymmetric alkene is present, or it may be explicitly indicated by the bond symbol $C=C$. The alkenyl group can be substituted with one or more groups including, but not limited to, alkyl, halogenated alkyl, alkoxy, alkenyl, alkynyl, aryl, heteroaryl, aldehyde, amino, carboxylic acid, ester, ether, halide, hydroxy, ketone, sulfo-oxo, sulfonylamino, nitro, silyl, or thiol, as described below.

The term "alkynyl" as used herein is a hydrocarbon group of 2 to 24 carbon atoms with a structural formula containing at least one carbon-carbon triple bond.

The alkynyl group can be substituted with one or more groups including, but not limited to, alkyl, halogenated alkyl, alkoxy, alkenyl, alkynyl, aryl, heteroaryl, aldehyde, amino, carboxylic acid, ester, ether, halide, hydroxy, ketone, sulfo-oxo, sulfonylamino, nitro, silyl, or thiol, as described below.

The term "aryl" as used herein is a group that contains any carbon-based aromatic group including, but not limited to, benzene, naphthalene, phenyl, biphenyl, phenoxybenzene, and the like. The term "aryl" also includes "heteroaryl," which is defined as a group that contains an aromatic group that has at least one heteroatom incorporated within the ring of the aromatic group. Examples of heteroatoms include, but are not limited to, nitrogen, oxygen, sulfur, and phosphorus. Likewise, the term "non-heteroaryl," which is also included in the term "aryl," defines a group that contains an aromatic group that does not contain a heteroatom. The aryl group can be substituted or unsubstituted. The aryl group can be substituted with one or more groups including, but not limited to, alkyl, halogenated alkyl, alkoxy, alkenyl, alkynyl, aryl, heteroaryl, aldehyde, amino, carboxylic acid, ester, ether, halide, hydroxy, ketone, sulfo-oxo, sulfonylamino, or thiol as described herein. The term "biaryl" is a specific type of aryl group and is included in the definition of aryl. Biaryl refers to two aryl groups that are bound together via a fused ring structure, as in naphthalene, or are attached via one or more carbon-carbon bonds, as in biphenyl.

The term "cycloalkyl" as used herein is a non-aromatic carbon-based ring composed of at least three carbon atoms. Examples of cycloalkyl groups include, but are not limited to, cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl, etc. The term "heterocycloalkyl" is a cycloalkyl group as defined above where at least one of the carbon atoms of the ring is substituted with a heteroatom such as, but not limited to, nitrogen, oxygen, sulfur, or phosphorus. The cycloalkyl group and heterocycloalkyl

group can be substituted or unsubstituted. The cycloalkyl group and heterocycloalkyl group can be substituted with one or more groups including, but not limited to, alkyl, alkoxy, alkenyl, alkynyl, aryl, heteroaryl, aldehyde, amino, carboxylic acid, ester, ether, halide, hydroxy, ketone, sulfo-oxo, sulfonylamino, nitro, silyl, or thiol as described herein.

The term "cycloalkenyl" as used herein is a non-aromatic carbon-based ring composed of at least three carbon atoms and contains at least one double bond, *e.g.*, C=C. Examples of cycloalkenyl groups include, but are not limited to, cyclopropenyl, cyclobutenyl, cyclopentenyl, cyclopentadienyl, cyclohexenyl, cyclohexadienyl, and the like. The term "heterocycloalkenyl" is a type of cycloalkenyl group as defined above, and is included within the meaning of the term "cycloalkenyl," where at least one of the carbon atoms of the ring is substituted with a heteroatom such as, but not limited to, nitrogen, oxygen, sulfur, or phosphorus. The cycloalkenyl group and heterocycloalkenyl group can be substituted or unsubstituted. The cycloalkenyl group and heterocycloalkenyl group can be substituted with one or more groups including, but not limited to, alkyl, alkoxy, alkenyl, alkynyl, aryl, heteroaryl, aldehyde, amino, carboxylic acid, ester, ether, halide, hydroxy, ketone, sulfo-oxo, sulfonylamino, nitro, silyl, or thiol as described herein.

The term "cyclic group" is used herein to refer to either aryl groups, non-aryl groups (*i.e.*, cycloalkyl, heterocycloalkyl, cycloalkenyl, and heterocycloalkenyl groups), or both. Cyclic groups have one or more ring systems that can be substituted or unsubstituted. A cyclic group can contain one or more aryl groups, one or more non-aryl groups, or one or more aryl groups and one or more non-aryl groups.

The terms "amine" or "amino" as used herein are represented by the formula NAA^1A^2 , where A, A^1 , and A^2 can be, independently, hydrogen, an alkyl, halogenated alkyl, alkenyl, alkynyl, aryl, heteroaryl, cycloalkyl, cycloalkenyl, heterocycloalkyl, or heterocycloalkenyl group described above.

The term "ester" as used herein is represented by the formula $-OC(O)A$ or $-C(O)OA$, where A can be an alkyl, halogenated alkyl, alkenyl, alkynyl, aryl, heteroaryl, cycloalkyl, cycloalkenyl, heterocycloalkyl, or heterocycloalkenyl group described above.

The term "ether" as used herein is represented by the formula AOA^1 , where A and A^1 can be, independently, an alkyl, halogenated alkyl, alkenyl, alkynyl, aryl,

heteroaryl, cycloalkyl, cycloalkenyl, heterocycloalkyl, or heterocycloalkenyl group described above.

The term “ketone” as used herein is represented by the formula $AC(O)A^1$, where A and A^1 can be, independently, an alkyl, halogenated alkyl, alkenyl, alkynyl, aryl, heteroaryl, cycloalkyl, cycloalkenyl, heterocycloalkyl, or heterocycloalkenyl group described above.

The term “halide” as used herein refers to the halogens fluorine, chlorine, bromine, and iodine.

The term “hydroxyl” as used herein is represented by the formula $-OH$.

The term “nitro” as used herein is represented by the formula $-NO_2$.

The term “silyl” as used herein is represented by the formula $^1SiAA^1A^2$, where A, A^1 , and A^2 can be, independently, hydrogen, alkyl, halogenated alkyl, alkoxy, alkenyl, alkynyl, aryl, heteroaryl, cycloalkyl, cycloalkenyl, heterocycloalkyl, or heterocycloalkenyl group described above.

The term “sulfo-oxo” as used herein is represented by the formulas $-S(O)A$ (sulfoxide), $-S(O)_2A$ (sulfonyl), $-OS(O)_2A$ (sulfone), or $-OS(O)_2OA$, where A can be hydrogen, an alkyl, halogenated alkyl, alkenyl, alkynyl, aryl, heteroaryl, cycloalkyl, cycloalkenyl, heterocycloalkyl, or heterocycloalkenyl group described above.

The term “sulfonylamino” or “sulfonamide” as used herein is represented by the formula $-S(O)_2NH-$.

The term “thiol” as used herein is represented by the formula $-SH$.

“ R^1 ,” “ R^2 ,” “X” and “L” as used herein can, independently, possess one or more of the groups listed above. For example, if R^1 is a straight chain alkyl group, one of the hydrogen atoms of the alkyl group can optionally be substituted with a hydroxyl group, an alkoxy group, an alkyl group, a halide, and the like. Depending upon the groups that are selected, a first group can be incorporated within second group or, alternatively, the first group can be pendant (*i.e.*, attached) to the second group. For example, with the phrase “an alkyl group comprising an amino group,” the amino group can be incorporated within the backbone of the alkyl group. Alternatively, the amino group can be attached to the backbone of the alkyl group. The nature of the group(s) that is(are) selected will determine if the first group is embedded or attached to the second group.

Unless stated to the contrary, a formula with chemical bonds shown only as solid lines and not as wedges or dashed lines contemplates each possible isomer, *e.g.*,

each enantiomer and diastereomer, and a mixture of isomers, such as a racemic or scalemic mixture.

Reference will now be made in detail to specific aspects of the disclosed materials, compounds, compositions, components, devices, articles, and methods, examples of which are illustrated in the following description and examples, and in the figures and their previous and following description.

A. General Compositions

Disclosed herein are materials, compositions, and components that can be used for, can be used in conjunction with, can be used in preparation for, or are products of the disclosed method and compositions. These and other materials are disclosed herein, and it is understood that when combinations, subsets, interactions, groups, etc. of these materials are disclosed that while specific reference of each various individual and collective combinations and permutation of these compounds may not be explicitly disclosed, each is specifically contemplated and described herein. For example, if a molecule is disclosed and a number of modifications that can be made to a number of substituents are discussed, each and every combination and permutation that are possible are specifically contemplated unless specifically indicated to the contrary. Thus, if a class of substituents A, B, and C are disclosed as well as a class of substituents D, E, and F and an example of a combination molecule, A-D is disclosed, then even if each is not individually recited, each is individually and collectively contemplated. Thus, in this example, each of the combinations A-E, A-F, B-D, B-E, B-F, C-D, C-E, and C-F are specifically contemplated and should be considered disclosed from disclosure of A, B, and C; D, E, and F; and the example combination A-D. Likewise, any subset or combination of these is also specifically contemplated and disclosed. Thus, for example, the sub-group of A-E, B-F, and C-E are specifically contemplated and should be considered disclosed from disclosure of A, B, and C; D, E, and F; and the example combination A-D. This concept applies to all aspects of this disclosure including, but not limited to, steps in methods of making and using the disclosed compositions. Thus, if there are a variety of additional steps that can be performed it is understood that each of these additional steps can be performed with any specific embodiment or combination of embodiments of the disclosed methods, and that each such combination is specifically contemplated and should be considered disclosed.

Certain materials, compounds, compositions, and components disclosed herein can be obtained commercially or can be readily synthesized using techniques generally known to those of skill in the art. For example, the starting materials and reagents used in preparing the disclosed compounds and compositions are either
5 available from commercial suppliers such as Aldrich Chemical Co., (Milwaukee, Wis.), Acros Organics (Morris Plains, N.J.), Fisher Scientific (Pittsburgh, Pa.), or Sigma (St. Louis, Mo.) or are prepared by methods known to those skilled in the art following procedures set forth in references such as Fieser and Fieser's Reagents for Organic Synthesis, Volumes 1-17 (John Wiley and Sons, 1991); Rodd's Chemistry of
10 Carbon Compounds, Volumes 1-5 and Supplementals (Elsevier Science Publishers, 1989); Organic Reactions, Volumes 1-40 (John Wiley and Sons, 1991); March's Advanced Organic Chemistry, (John Wiley and Sons, 4th Edition); and Larock's Comprehensive Organic Transformations (VCH Publishers Inc., 1989).

1. Specific Compositions

15 Disclosed herein are compositions that are or can form hydrogels (*e.g.*, nanogels and macrogels) and colloidosomes when, *e.g.*, mixed with water. The compositions comprise photoactive particles with unusual and well-controlled properties, which have a variety of uses, such as encapsulating devices and delivery vehicles (*e.g.*, olidonucleotide delivery devices).

20 In response to environmental stimuli such as temperature or pH, the disclosed hydrogel compositions can change their volume by several orders of magnitude (Li and Tanaka, *Annu. Rev. Mat. Sci.* 22:243 (1992)) enabling applications in controlled drug release (Hoffman, *Adv. Drug Delivery Rev.* 54:3 (2002); Siegel and Firestone, *Macromolecules* 21:3254-3259 (1988); Jeong, et al., *Nature* 388:860-862 (1997);
25 Wang, et al., *Nature* 397:417-420 (1999); Gehrke, *Adv. Polym. Sci.* 110:81 (1993) and sensing (Osada, et al., *Nature* 355:242-244 (1992); Hu, et al., *Science* 269:525-527 (1995)). Further, as disclosed herein, the disclosed hydrogen compositions can comprise spiropyrans (either mixed with the hydrogel precursor component of the hydrogel or bonded to the hydrogel precursor). These spiropyrans can act as
30 triggering molecules that respond to external light with rapid changes in conformation, polarity, and charge. This, in turn, can trigger large changes in the charge, polarity, and degree of swelling of the particles, resulting in the change in permeability of nanogel, microgel, hydrogel, or colloidosome. Also, as hydrogels, the disclosed compositions can, in one aspect, be readily used for biomedical applications

because of their ability to simulate biological tissues (Peppas, *Hydrogels in Medicine and Pharmacy* (CRC Press, Boca Raton, FL, 1987); Peppas and Langer, *Science* 263:1715 (1994)).

5 In one aspect, disclosed herein in a composition produced by the process comprising polymerizing a hydrogel precursor with a spiropyran. In another aspect, the disclosed compositions comprise a hydrogel precursor and a spiropyran. In another aspect, the disclosed compositions can be produced by the process comprising reacting a hydrogel precursor comprising at least one hydroxyl group and/or carboxylic acid group with a spiropyran comprising a group capable of reacting with
10 the hydroxyl group or carboxylic acid group.

The compositions disclosed herein can be on the micrometer or nanometer scale and can have unique properties that can be changed due to differences in, for example, light, pH, and temperature conditions.

The development of photo-responsive gels has been the subject of intensive
15 investigation since the discovery of the volume phase transition in polymer gels (Tanaka, *Phys. Rev. Lett.* 40:820 (1978)). This is because light triggered volume phase transition is convenient, environmentally friendly, and can be remotely controlled (Suzuki and Tanaka, *Nature* 346:345-347 (1990)). Ultraviolet light was used to initiate an ionization reaction in the gel, creating internal osmotic pressure
20 (Irie and Kunwachakun, *Macrom. Rapid Comm.* pp. 2476-2480; Mamada, et al., *Macromolecules* 23:1517 (1990)). The phase transition of gels was also induced by directly heating the network polymers by visible light (Suzuki and Tanaka, *Nature* 346:345-347 (1990)) or infrared radiation (Zhang, et al., *J. Chem. Phys.* 102:551 (1995)). A light-modulation gel has been prepared that imitates the behavior of
25 pigment cells (Akashi, et al., *Adv. Mater.* 14:1808 (2002)). Light triggered anisotropic bending has been found in azobenzene liquid-crystalline gels (Ikeda, et al., *Adv. Mater.* 15:201 (2003)). An azobenzene cross-linked hydrogel which contains an embedded crystalline colloidal array has been prepared for potential application in recordable and erasable memories (Hirakura, et al., *Biomacromolecules* 5:1804-1809
30 (2004); Sumaru, et al., *Macromolecules* 37:4949-4955 (2004)). Proton dissociation of spirobenzopyran-functionalized poly(*N*-isopropylacrylamide) in aqueous solution has been studied using light irradiation as a trigger (Kameda, et al., *Langmuir* 20:9315-9319 (2004); Sumaru, et al., *Macromolecules* 37:7854-7856 (2004); Garcia, et al., *J. Phys. Chem. A* 104:6103-6107 (2000)). Photoresponsive nanogels were prepared by

the self-assembly of spiropyran-bearing pullulan (Rosario, et al., *Langmuir* 18:8062-8069 (2002)).

While other researchers have observed the photoresponsiveness of polymers containing pendant spiropyran groups or hydrogel with pendant azobenzene or leucohydroxide groups, no work has been described on hydrogels with pendant spiropyran groups. One reason for this is that spiropyrans are not generally very soluble in water.

As disclosed herein, spiropyrans such as spiropyran (SP) are incorporated into the hydrogel precursor component or nanoparticles (e.g., PNIPAAm nanoparticles) to prepare photoactive hydrogels. The hydrogels disclosed herein (e.g., spiropyran-NIPA hydrogels) are unlike azobenzene and leucohydroxides in that upon UV irradiation, spiropyran is converted to a zwitterionic form in aqueous solution. This leads to more versatile charge-electric field applications at different pH values along with unique interactions with biological molecules. Further, the synthetic routes disclosed herein have the advantages of avoiding the addition of surfactant for biomedical compatibility, and the formation of monodisperse samples with controlled sizes. As a result, these particles can be sensitive to multiple stimuli including light, pH, and temperature, and they can also have a monodisperse size distribution that enable them to self-assemble into 3D ordered structures.

As disclosed herein, when spiropyrans such as those described herein can be copolymerized with hydrogel precursor monomers like N-isopropylacrylamide (NIPAAm), poly-NIPAAm (PNIPAAm) nanogel particles can be created that are both thermally- and photonicallly-responsive (Figure 2 bottom). At temperatures above their lower critical solution temperature (LCST) the nanogels disclosed herein contract sharply and expel water from their structure, and when cooled they rehydrate and expand. Also, depending upon their polymerization conditions, the gels can also be made to contract and expand using different wavelengths of light or by alternating between visible light and darkness. In fact, UV irradiated particles (260 nm radius) were shown to be smaller than those under visible irradiation (520 nm radius). The fact that nanogels undergo light-induced physical changes allows them to be custom-tailored as highly-specific delivery vehicles for gene therapy. For example, by exposing the disclosed hydrogels to various conditions (e.g., light, pH, temperature), one can specifically control the delivery of encapsulated oligonucleotides.

2. Size

As disclosed herein, the size of the hydrogel compositions can be adjusted by treatment with different light conditions (*e.g.*, visible light, UV light, dark), temperature, and pH. The size of the compositions can also be adjusted, if desired, by a variety of other procedures including, but not limited to, extrusion, filtration, sonication, homogenization, employing a laminar stream of a core of liquid introduced into an immiscible sheath of liquid, extrusion under pressure through pores of defined size, and similar methods. The foregoing techniques, as well as others, are discussed, for example, in Mayer, *et al.*, *Biochim. Biophys. Acta.* 858:161-168 (1986); Hope, *et al.*, *Biochim. Biophys. Acta.* 812:55-65 (1985); Mayhew, *et al.*, *Methods in Enzymology* 149:64-77 (1987). The disclosures of these publications are incorporated by reference herein in their entireties.

In general, the hydrogel compositions disclosed herein can have at least one dimension (*e.g.*, hydrodynamic radius, diameter, length, width, height, etc.) less than about 1 micrometer (1000 nanometers (nm)), less than about 750 nm, less than about 500 nm, less than about 250 nm, less than about 100 nm, and/or less than about 10 nm. In one aspect, the particles disclosed herein can have at least one dimension greater than about 1 micrometer, greater than about 750 nm, greater than about 500 nm, greater than about 250 nm, greater than 100 nm, and/or greater than about 10 nm. In still other aspects, the disclosed particles can have at least one dimension in the range of from about 1 to about 10 nm, from about 10 to about 100 nm, from about 100 to about 200 nm, from about 100 to about 300 nm, from about 200 to about 300 nm, from about 300 to about 400 nm, from about 100 to about 400 nm, from about 200 to about 400 nm, from about 400 to about 500 nm, from about 100 to about 500 nm, from about 200 to about 500 nm, from about 300 to about 500 nm, from about 500 to about 600 nm, from about 100 to about 600 nm, from about 200 to about 600 nm, from about 300 to about 600 nm, from about 400 to about 600 nm, from about 600 to about 700 nm, from about 100 to about 700 nm, from about 200 to about 700 nm, from about 300 to about 700 nm, from about 400 to about 700 nm, from about 500 to about 700 nm, from about 700 to about 800 nm, from about 100 to about 800 nm, from about 200 to about 800 nm, from about 300 to about 800 nm, from about 400 to about 800 nm, from about 500 to about 800 nm, from about 600 to about 800 nm, from about 800 to about 900 nm, from about 100 to about 900 nm, from about 200 to about 900 nm, from about 300 to about 900 nm, from about 400 to about 900 nm,

from about 500 to about 900 nm, from about 600 to about 900 nm, from about 700 to about 900 nm, from about 900 to about 1000 nm, from about 100 to about 1000 nm, from about 200 to about 1000 nm, from about 300 to about 1000 nm, from about 400 to about 1000 nm, from about 500 to about 1000 nm, from about 600 to about 1000 nm, from about 700 to about 1000 nm, and/or from about 800 to about 1000 nm. In another aspect, the particles disclosed herein can have at least one dimension of about 1, 2, 5, 10, 15, 20, 25, 30, 35, 40, 45, 50, 75, 100, 125, 150, 175, 200, 225, 250, 275, 300, 325, 350, 375, 400, 425, 450, 475, 500, 525, 550, 575, 600, 625, 650, 675, 700, 725, 750, 775, 800, 825, 850, 875, 900, 925, 950, 975, and/or 1000 nm, where any of the stated values can form an upper or lower endpoint as appropriate.

3. Spiropyran

The compositions disclosed herein contain one or more spiropyrans. The spiropyran can act as a triggering molecule or switch in the disclosed compositions because it responds to external stimulus (light) with rapid changes in its properties such as conformation, polarity, and/or charge. These changes in turn can trigger large changes in the charge, polarity, and degree of swelling of the disclosed compositions.

Spiropyrans are one of the most useful and well studied classes of photoactive molecules (Bertelson, in *Photochromism*, G. H. Brown, Ed. (Wiley-Interscience, New York, 1971)). In solution and irradiated with visible light, the majority of spiropyrans are colorless (or nearly so). Under these conditions the spiropyran exists in a nonpolar or "closed" spiro form that absorbs light predominantly in the ultraviolet region (Figure 2 top). When exposed to UV light, or in polar solvents in the dark, SP can undergo an isomerization wherein the spiro linkage is severed, resulting in a highly polar "open" form that is colored (typically absorbing near 530 nm) and highly fluorescent (Bunker, et al., *Nano Letters* 3:1723-1727 (2003)). Interfacial force microscopy was used to directly determine that the large light-induced change in polarity of spiropyran-coated surfaces was due to the reversible formation of a positively charged surface under UV irradiation or in the dark.

In one aspect, a spiropyran that is suitable for the compositions and methods disclosed herein can undergo a change in its properties (e.g., polarity, charge, or conformation) upon exposure to visible light, red light, or blue light. For example, the spiropyran can undergo a change in its properties upon exposure to light of from about 400 nm to about 800 nm. In some specific examples, the spiropyran can undergo a change in its properties upon exposure to light of about 400, 401, 402, 403,

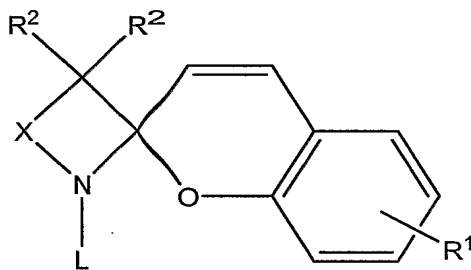
404, 405, 406, 407, 408, 409, 410, 411, 412, 413, 414, 415, 416, 417, 418, 419, 420, 421, 422, 423, 424, 425, 426, 427, 428, 429, 430, 431, 432, 433, 434, 435, 436, 437, 438, 439, 440, 441, 442, 443, 444, 445, 446, 447, 448, 449, 450, 451, 452, 453, 454, 455, 456, 457, 458, 459, 460, 461, 462, 463, 464, 465, 466, 467, 468, 469, 470, 471, 5 472, 473, 474, 475, 476, 477, 478, 479, 480, 481, 482, 483, 484, 485, 486, 487, 488, 489, 490, 491, 492, 493, 494, 495, 496, 497, 498, 499, 500, 501, 502, 503, 504, 505, 506, 507, 508, 509, 510, 511, 512, 513, 514, 515, 516, 517, 518, 519, 520, 521, 522, 523, 524, 525, 526, 527, 528, 529, 530, 531, 532, 533, 534, 535, 536, 537, 538, 539, 540, 541, 542, 543, 544, 545, 546, 547, 548, 549, 550, 551, 552, 553, 554, 555, 556, 10 557, 558, 559, 560, 561, 562, 563, 564, 565, 566, 567, 568, 569, 570, 571, 572, 573, 574, 575, 576, 577, 578, 579, 580, 581, 582, 583, 584, 585, 586, 587, 588, 589, 590, 591, 592, 593, 594, 595, 596, 597, 598, 599, 600, 601, 602, 603, 604, 605, 606, 607, 608, 609, 610, 611, 612, 613, 614, 615, 616, 617, 618, 619, 620, 621, 622, 623, 624, 625, 626, 627, 628, 629, 630, 631, 632, 633, 634, 635, 636, 637, 638, 639, 640, 641, 15 642, 643, 644, 645, 646, 647, 648, 649, 650, 651, 652, 653, 654, 655, 656, 657, 658, 659, 660, 661, 662, 663, 664, 665, 666, 667, 668, 669, 670, 671, 672, 673, 674, 675, 676, 677, 678, 679, 680, 681, 682, 683, 684, 685, 686, 687, 688, 689, 690, 691, 692, 693, 694, 695, 696, 697, 698, 699, 700, 701, 702, 703, 704, 705, 706, 707, 708, 709, 710, 711, 712, 713, 714, 715, 716, 717, 718, 719, 720, 721, 722, 723, 724, 725, 726, 20 727, 728, 729, 730, 731, 732, 733, 734, 735, 736, 737, 738, 739, 740, 741, 742, 743, 744, 745, 746, 747, 748, 749, 750, 751, 752, 753, 754, 755, 756, 757, 758, 759, 760, 761, 762, 763, 764, 765, 766, 767, 768, 769, 770, 771, 772, 773, 774, 775, 776, 777, 778, 779, 780, 781, 782, 783, 784, 785, 786, 787, 788, 789, 790, 791, 792, 793, 794, 795, 796, 797, 798, 799, and/or 800 nm, where any of the stated values can form an 25 upper or lower endpoint as appropriate.

In another aspect, a spiropyran that is suitable for the compositions and methods disclosed herein can undergo a change in its properties upon exposure to ultraviolet light. For example, the spiropyran can undergo a change in its properties upon exposure to light of from about 1 nm to about 300 nm. In some specific 30 examples, the spiropyran can undergo a change in its properties upon exposure to light of about 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 74, 75, 76, 77, 78, 79, 80, 81, 82, 83, 84, 85, 86, 87, 88, 89, 90, 91,

92, 93, 94, 95, 96, 97, 98, 99, 100, 101, 102, 103, 104, 105, 106, 107, 108, 109, 110, 111, 112, 113, 114, 115, 116, 117, 118, 119, 120, 121, 122, 123, 124, 125, 126, 127, 128, 129, 130, 131, 132, 133, 134, 135, 136, 137, 138, 139, 140, 141, 142, 143, 144, 145, 146, 147, 148, 149, 150, 151, 152, 153, 154, 155, 156, 157, 158, 159, 160, 161, 162, 163, 164, 165, 166, 167, 168, 169, 170, 171, 172, 173, 174, 175, 176, 177, 178, 179, 180, 181, 182, 183, 184, 185, 186, 187, 188, 189, 190, 191, 192, 193, 194, 195, 196, 197, 198, 199, 200, 201, 202, 203, 204, 205, 206, 207, 208, 209, 210, 211, 212, 213, 214, 215, 216, 217, 218, 219, 220, 221, 222, 223, 224, 225, 226, 227, 228, 229, 230, 231, 232, 233, 234, 235, 236, 237, 238, 239, 240, 241, 242, 243, 244, 245, 246, 247, 248, 249, 250, 251, 252, 253, 254, 255, 256, 257, 258, 259, 260, 261, 262, 263, 264, 265, 266, 267, 268, 269, 270, 271, 272, 273, 274, 275, 276, 277, 278, 279, 280, 281, 282, 283, 284, 285, 286, 287, 288, 289, 290, 291, 292, 293, 294, 295, 296, 297, 298, 299, and/or 300 nm, where any of the stated values can form an upper or lower endpoint as appropriate.

In another aspect, mixtures of spiropyrans can be used that under go changes upon exposure to any of the described wavelengths of light.

Suitable spiropyrans that can be used in the disclosed compositions include, but are not limited to, spiropyran compounds having the following Formula I:



(I)

wherein X is a substituted or unsubstituted, C1 to C4, alkyl or alkenyl group; R¹ is H, alkyl, alkenyl, alkoxy, aryl, halide, hydroxyl, amino, nitro, silyl, sulfo-oxo, sulfonylamino, ether, ester, carboxylic acid, or thiol group; each R² is, independently of each other, H, alkyl, alkenyl, alkoxy, aryl, halide, hydroxyl, amino, nitro, silyl, sulfo-oxo, sulfonylamino, thiol, ether, ester, carboxylic acid, or together each R² substituent forms a keto group, a cyclicalkyl group, a cyclicalkenyl group, or an aryl group; and L is H or linker, wherein the linker is capable of forming at least one bond with a hydrogel or hydrogel precursor (e.g., a alkenyl group). In one aspect, the

spiropyran can comprise at least one alkenyl group. In another aspect, X is a fused aryl group.

In one aspect, described herein are compositions comprising a compound represented by Formula I. In another aspect, described herein are compositions prepared by or with compounds represented by Formula I.

Compounds represented by Formula I can be optically active or racemic. The stereochemistry at the various chiral centers in Formula I can vary and will depend upon the spatial relationship between the substituents on that carbon. In one aspect, the stereochemistry at a chiral carbon shown in Formula I is S. In another aspect, the stereochemistry at a chiral carbon shown in Formula I is R. Using techniques known in the art, it is possible to vary the stereochemistry at each chiral carbon shown in Formula I. While such enantioselective and enantiospecific techniques typically provide the one isomer, the presence of a minor amount of the other isomer can sometimes occur.

a) R^1 Substituent

As disclosed herein, the R^1 substituent can comprise an alkyl, alkenyl, alkoxy, aryl, halide, hydroxyl, amino, nitro, silyl, sulfo-oxo, sulfonylamino, ether, ester, carboxylic acid, or thiol group, or any combination thereof. The R^1 substituent can be in the *ortho*, *meta*, or *para* position.

In one aspect, the R^1 substituent can comprise an electron withdrawing group such a nitro, sulfonyl, or halogenated alkyl group. In another aspect, R^1 can be an alkyl group such as methyl, ethyl, n-propyl, i-propyl, n-butyl, i-butyl, s-butyl, t-butyl, n-pentyl, i-pentyl, neopentyl, hexyl, heptyl, octyl, nonyl, decyl, dodecyl, tetradecyl, hexadecyl or derivatives thereof. In other examples, the R^1 substituent can be a substituted alkyl, such as an alkylalcohol or halogenated alkyl. Examples of suitable alkylalcohols for a R^1 substituent include, but are not limited to, hydroxymethyl, hydroxyethyl, hydroxypropyl, dihydroxypropyl, hydroxybutyl, dihydroxybutyl, hydroxypentyl, dihydroxypentyl, hydroxyhexyl, dihydroxyhexyl, 3-methyl-2-hydroxybutanyl, 2-methyl-3-hydroxypentyl, and derivatives thereof. Examples of suitable halogenated alkyl groups for a R^1 substituent include, but are not limited to, chloro- or bromomethyl, chloro- or bromoethyl, chloro- or bromopropyl chloro- or bromobutyl, and derivatives thereof.

In still another aspect, a R¹ substituent can comprise an alkoxy group, such as a methoxy, ethoxy, methoxymethyl, ethoxymethyl, propoxy, isopropoxy, butoxy, tertbutoxy, neopentoxy, and the like.

In one specific examples, the R¹ substituent is a nitro group.

5 **b) R^2 Substituent**

As disclosed herein, each R² substituent can comprise, independent of the other R² substituent, H, alkyl, alkenyl, alkoxy, aryl, halide, hydroxyl, amino, nitro, silyl, sulfo-oxo, sulfonylamino, thiol, ether, ester, carboxylic acid, or together each R² substituent forms a keto group, a cyclicalkyl group, a cyclicalkenyl group, or an aryl group, or any combination thereof.

In one aspect, R² can be an alkyl group such as methyl, ethyl, n-propyl, i-propyl, n-butyl, i-butyl, s-butyl, t-butyl, n-pentyl, i-pentyl, neopentyl, hexyl, heptyl, octyl, nonyl, decyl, dodecyl, tetradecyl, hexadecyl or derivatives thereof. In other examples, the R² substituent can be a substituted alkyl, such as an alkylalcohol or halogenated alkyl. Examples of suitable alkylalcohols for a R¹ substituent include, but are not limited to, hydroxymethyl, hydroxyethyl, hydroxypropyl, dihydroxypropyl, hydroxybutyl, dihydroxybutyl, hydroxypentyl, dihydroxypentyl, hydroxyhexyl, dihydroxyhexyl, 3-methyl-2-hydroxybutanyl, 2-methyl-3-hydroxypentyl, and derivatives thereof. Examples of suitable halogenated alkyl groups for a R² substituent include, but are not limited to, chloro- or bromomethyl, chloro- or bromoethyl, chloro- or bromopropyl chloro- or bromobutyl, and derivatives thereof.

In still another aspect, a R² substituent can comprise an alkoxy group, such as a methoxy, ethoxy, methoxymethyl, ethoxymethyl, propoxy, isopropoxy, butoxy, tertbutoxy, neopentoxy, and the like.

In one aspect, both R² substituents can form together a keto group, a cyclicalkynyl group (*e.g.*, a substituted or unsubstitued cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl, or cycloheptyl group), or a cyclicalkenyl group (*e.g.*, a substituted or unsubstitued cyclopentenyl, cyclopentadienyl, cyclohexenyl, cyclohexadienyl), and the like. Further examples include, heterocyloalkenyl groups, such as pyrrolino, imidazolino, pyrazolino, azirino, oxirenyl, azepine, pyranlyl, and the like. Still further examples include heterocycloalkyl groups, *i.e.*, a cycloalkyl group wherein one of the carbon atoms is substituted with, for example, oxygen, sulfur, or nitrogen. Examples of suitable

heterocycloalkyl groups for a pair of R^2 substituents include, but are not limited to, tetrahydrofuranyl, tetrahydrofurfuryl alcohol, tetrahydrofurfurylamine, tetrahydrofurfuryl acetate, tetrahydropyranyl, pyrrolidino, piperidino, piperazino, morpholino and thiomorpholino, thiomorpholino-1-oxide, thiomorpholino-1,1-dioxide, 1,4-dioxane, oxepan-2-yl, and the like.

In one specific example, at least one or both R^2 groups are methyl groups.

c) X Moiety

As disclosed herein, X is a substituted or unsubstituted, C1 to C4, alkyl or alkenyl group. That is, in Formula I, the nitrogen containing ring can be a 4-, 5-, 6-, or 7-membered, saturated or unsaturated ring. This X moiety of the ring can be substituted or unsubstituted. In one aspect, the X group can be substituted with alkyl, alkenyl, alkoxy, aryl, halide, hydroxyl, amino, nitro, silyl, sulfo-oxo, sulfonylamino, ether, ester, carboxylic acid, or thiol group, or any combination thereof.

Further, as mentioned earlier, X can be substituted with an aryl group in a manner that results in a fused ring structure. For example, X can share two carbon atoms with an aryl group, including non-heteroaryl and heteroaryl groups. Suitable non-heteroaryl groups with which X can be substituted (or fused) include, but are not limited to, phenyl, halophenyl, methylphenyl, dimethylphenyl, ethylphenyl, propylphenyl, hydroxyphenyl, aminophenyl, carboxyphenyl, styrenyl, indenyl, naphthyl, biphenyl, anthracenyl, fluorenyl, phenanthrenyl, tosyl, and the like, and derivatives thereof. Suitable heteroaryl groups include, but are not limited to, pyridinyl, furanyl, thiophenyl, thiazolyl, isothiazolyl, triazolyl, imidazolyl, isoxazolyl, pyrrolyl, pyrazolyl, pyrimidino, pyrazino, pyridazino, benzofuranyl, isobenzofuranyl, benzothiazolyl, benzoisothiazolyl, benzotriazolyl, indolyl, isoindolyl, 3-indole-sulfate, indo-2-carboxylic acid, indolyl, indolizyl, benzoxazolyl, quinolyl, isoquinolyl, quinazolinyl, benzimidazolyl, benzisoxazolyl, benzothiophenyl, dibenzofuran, imidazolyl, acridinyl, phenothiazinyl, carbazolyl, and benzodiazepin-2-one-5-yl, and the like, and derivatives thereof.

In another example, X can be substituted with or fused with (*i.e.*, share two carbon atoms with) a cycloalkyl group (*e.g.*, a cyclopentyl or cyclohexyl), a cycloalkenyl group (*e.g.*, cyclopentenyl, cyclopentadienyl, or cyclohexenyl), a heterocycloalkyl group (*e.g.*, tetrahydrofuranyl, tetrahydrofurfuryl alcohol, tetrahydrofurfurylamine, tetrahydrofurfuryl acetate, tetrahydropyranyl, pyrrolidino, piperidino, piperazino, morpholino and thiomorpholino, thiomorpholino-1-oxide,

thiomorpholino-1,1-dioxide, 1,4-dioxane, oxepan-2-yl), or a heterocycloalkenyl group (e.g., pyrrolino, imidazolino, pyrazolino, azirino, oxiren-2-yl, azepine, pyran-2-yl).

d) Linker

As disclosed herein, L of Formula I is a linker. That is, L is a chemical moiety that is capable of forming a bond with a Formula I to the hydrogel precursors disclosed herein. When L is present in Formula I, it can attach to the hydrogel precursor at any location.

L can be of varying lengths, such as from 1 to 12 atoms in length. For example, L can be from 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, or 12 atoms in length, where any of the stated values can form an upper or lower end point as appropriate. L can be substituted or unsubstituted. When substituted, L can contain substituents attached to the backbone of L or substituents embedded in the backbone of L. For example, an amine substituted linker L can contain an amine group attached to the backbone of L or a nitrogen in the backbone of L. Suitable moieties for L include, but are not limited to, substituted or unsubstituted, branched or unbranched, alkyl, alkenyl, or alkynyl groups, ethers, esters, polyethers, polyesters, polyalkylenes, polyamines, heteroatom substituted alkyl, alkenyl, or alkynyl groups, cycloalkyl groups, cycloalkenyl groups, heterocycloalkyl groups, heterocycloalkenyl groups, and the like, and derivatives thereof.

In one aspect, when L is present in Formula I, L can be a C1 to C8 branched or straight-chain alkyl, such as methyl, ethyl, n-propyl, i-propyl, n-butyl, i-butyl, s-butyl, t-butyl, n-pentyl, i-pentyl, neopentyl, or hexyl. In a specific example, L can be $-(CH_2)_n-$, wherein n is from 1 to 4.

In another aspect, when L is present in Formula I, L can be a C1 to C8 branched or straight-chain alkoxy such as a methoxy, ethoxy, n-propoxy, i-propoxy, n-butoxy, i-butoxy, s-butoxy, t-butoxy, n-pentoxy, i-pentoxy, neopentoxy, or hexoxy.

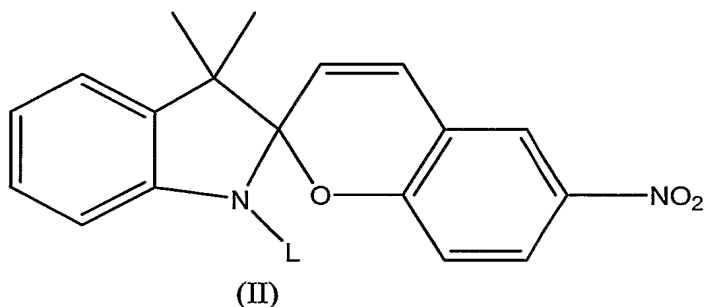
In still another aspect, when L is present in Formula I, L can be a C2 to C8 branched or straight-chain alkyl, wherein one or more of the carbon atoms is substituted with oxygen (e.g., an ether) or an amino group. For example, suitable linkers (L) can include, but are not limited to, a methoxymethyl, methoxyethyl, methoxypropyl, methoxybutyl, ethoxymethyl, ethoxyethyl, ethoxypropyl, propoxymethyl, propoxyethyl, methylaminomethyl, methylaminoethyl, methylaminopropyl, methylaminobutyl, ethylaminomethyl, ethylaminoethyl, ethylaminopropyl, propylaminomethyl, propylaminoethyl, methoxymethoxymethyl,

ethoxymethoxymethyl, methoxyethoxymethyl, methoxymethoxyethyl, and the like, and derivatives thereof.

In yet another aspect, when L is present in Formula I, L can be a C1 to C8 amine or amide. For example, L can be a methyl amide (*i.e.*, a urea linker in Formula I), ethyl amide or amide, propyl amide or amine, butyl amide or amine, pentyl amide or amine, hexyl amide or amine, heptyl amide or amine, or octyl amide or amine. In one specific example, L is a butyl amide or allyl amide. In another example, L can be $-(CH_2)_mC(O)NH(CH_2)_nCH=CH_2$, wherein m is from 1 to 12 and n is from 0 to 12. In yet another example, m can be 3 and n can be 1.

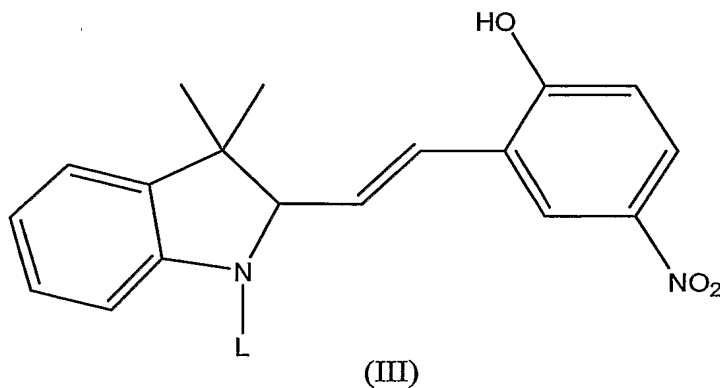
10 e) Specific Examples

One specific example of a spiropyrans that is suitable for use in the disclosed hydrogel compositions is the spiropyran of Formula II:



wherein L is as described above, *e.g.*, L is linker capable of forming at least one bond with a hydrogel precursor.

It should be understood that the spiropyrans disclosed herein can be converted (via changes in light, pH, temperature, etc.) to a different form. For example, the compound of Formula II in the presence of dark or UV light and a proton source will exist as the following isomer (Formula III).



Thus, reference herein to compounds of Formula I or II are meant to refer and identify both the closed and open isomer forms of these molecules as illustrated in Formula III.

f) Preparation

5 The spiropyrans disclosed herein can be prepared by methods known in the art. For example, recent syntheses and characterization studies were performed by Gust, et al., (*Langmuir* 19:8801-8806 (2003)), which is incorporated by reference herein for its teachings of preparing and characterizing spiropyrans. These studies have led to spiropyran molecules such as those of Formulae I-III, which have enough
10 water solubility to perform aqueous polymerizations with hydrogel precursors like NIPA and can lead to the hydrogel compositions disclosed herein. Photoactive spiropyrans can be also be prepared and studied in oil/water systems (Garcia, et al., *J. Phys. Chem. A* 104:6103-6107 (2000)) and covalently bound to surfaces (Rosario, et al., *Langmuir* 18:8062-8069 (2002); Rosario, et al., *Langmuir* 19:8801-8806 (2003);
15 Rosario, et al., *Proceedings of Spie: 4807. Physical Chemistry of Interfaces and Nanomaterials*, Zhang and Wang, Eds. (2002) pp. 197, which are incorporated by reference herein for their teachings of preparing and characterizing spiropyrans), leading to hydrophobic property changes upon irradiation with UV and visible light.

g) Amounts

20 The amount of the spiropyran in the hydrogel compositions disclosed herein can be any amount, but will typically be from about 1 to about 20 weight % of the composition. For example, the spiropyran can be present in an amount of from about 1 to about 20 weight %, from about 1 to about 15 weight %, from about 1 to about 10 weight %, from about 1 to about 7 weight %, from about 1 to about 3 weight %, or
25 from about 1 to about 2 weight % of the compositions disclosed herein. In other examples, the spiropyran can be present in an amount of about 1.1, 1.2, 1.3, 1.4, 1.5, 1.6, 1.7, 1.8, 1.9, 2.0, 2.1, 2.2, 2.3, 2.4, 2.5, 2.6, 2.7, 2.8, 2.9, 3.0, 3.1, 3.2, 3.3, 3.4, 3.5, 3.6, 3.7, 3.8, 3.9, 4.0, 4.1, 4.2, 4.3, 4.4, 4.5, 4.6, 4.7, 4.8, 4.9, 5.0, 5.1, 5.2, 5.3, 5.4, 5.5, 5.6, 5.7, 5.8, 5.9, 6.0, 6.1, 6.2, 6.3, 6.4, 6.5, 6.6, 6.7, 6.8, 6.9, 7.0, 7.1, 7.2,
30 7.3, 7.4, 7.5, 7.6, 7.7, 7.8, 7.9, 8.0, 8.1, 8.2, 8.3, 8.4, 8.5, 8.6, 8.7, 8.8, 8.9, 9.0, 9.1, 9.2, 9.3, 9.4, 9.5, 9.6, 9.7, 9.8, 9.9, 10.0, 10.1, 10.2, 10.3, 10.4, 10.5, 10.6, 10.7, 10.8, 10.9, 11.0, 11.1, 11.2, 11.3, 11.4, 11.5, 11.6, 11.7, 11.8, 11.9, 12.0, 12.1, 12.2, 12.3, 12.4, 12.5, 12.6, 12.7, 12.8, 12.9, 13.0, 13.1, 13.2, 13.3, 13.4, 13.5, 13.6, 13.7, 13.8, 13.9, 14.0, 14.1, 14.2, 14.3, 14.4, 14.5, 14.6, 14.7, 14.8, 14.9, 15.0, 15.1, 15.2, 15.3,

15.4, 15.5, 15.6, 15.7, 15.8, 15.9, 16.0, 16.1, 16.2, 16.3, 16.4, 16.5, 16.6, 16.7, 16.8, 16.9, 17.0, 17.1, 17.2, 17.3, 17.4, 17.5, 17.6, 17.7, 17.8, 17.9, 18.0, 18.1, 18.2, 18.3, 18.4, 18.5, 18.6, 18.7, 18.8, 18.9, 19.0, 19.1, 19.2, 19.3, 19.4, 19.5, 19.6, 19.7, 19.8, 19.9, or 20.0 weight %, wherein any of the stated values can form an upper or lower
5 endpoint a appropriate.

4. Hydrogel precursor

The hydrogel precursor is one or more monomers, that are the same or different and that can be used to prepare a hydrogel. The hydrogel precursor can be of a single type, *e.g.*, capable of forming a homopolymer, or more than one type, *e.g.*
10 capable of forming a copolymer. Copolymers are suitable for the hydrogel compositions disclosed herein can be random, graft, or block polymers. The disclosed hydrogel precursors can form polymeric particles on the nanoscale or microscale.

Examples of suitable hydrogel precursors are monomers that can be used to
15 prepare polyesters, such as terephthalate based polymers, polyesteramides, cellulose esters, polyurethanes, polycarbonates, epoxy resins, polyamides, vinyl polymers (*e.g.*, polystyrene, polyethylene, polypropylene, polybutylene, polyacrylonitrile, poly(methyl)metacrylate, polyacrylamide, polyacrylic acid), hydroxypropylcellulose (HPC), hydroxymethylpropylcellulose, and hyaluronic acid (HA) and other
20 polysacrylate nanoparticles or any mixture thereof.

In one aspect, the hydrogel precursor can comprise a compound having at least one alkenyl group. For example, the hydrogel precursor can comprise acrylonitrile, acrylic acid, acrylamide, or methacrylic acid. In another example, the hydrogel precursor can comprise a substituted acrylamide. In yet another example, the
25 hydrogel precursor can comprise an N-alkyl substituted acrylamide. In still other examples, the hydrogel precursor can comprise N-methylacrylamide, N-ethylacrylamide, N-propylacrylamide, or N-isopropylacrylamide. In another specific example, the hydrogel precursor comprises hydroxypropylglucose (forming HPC) (Pelton and Chibante, *Coll. Surf.* 20:247 (1986)).

30 a) Amounts

The amount of the hydrogel precursor in the compositions disclosed herein can be any amount, but will typically be an amount capable of forming a polymer that is from about 99 to about 80 weight % of the hydrogel composition. For example, the hydrogel precursor can be present in an amount capable of forming a polymer that is

from about 80 to about 99 weight %, from about 80 to about 97 weight %, from about 80 to about 95 weight %, from about 80 to about 93 weight %, from about 80 to about 90 weight %, or from about 80 to about 85 weight % of the hydrogel compositions disclosed herein. In other examples, the hydrogel precursor can be present in an amount capable of forming a polymer that is about 80, 80.1, 80.2, 80.3, 80.4, 80.5, 80.6, 80.7, 80.8, 80.9, 81.0, 81.1, 81.2, 81.3, 81.4, 81.5, 81.6, 81.7, 81.8, 81.9, 82.0, 82.1, 82.2, 82.3, 82.4, 82.5, 82.6, 82.7, 82.8, 82.9, 83.0, 83.1, 83.2, 83.3, 83.4, 83.5, 83.6, 83.7, 83.8, 83.9, 84.0, 84.1, 84.2, 84.3, 84.4, 84.5, 84.6, 84.7, 84.8, 84.9, 85.0, 85.1, 85.2, 85.3, 85.4, 85.5, 85.6, 85.7, 85.8, 85.9, 86.0, 86.1, 86.2, 86.3, 86.4, 86.5, 86.6, 86.7, 86.8, 86.9, 87.0, 87.1, 87.2, 87.3, 87.4, 87.5, 87.6, 87.7, 87.8, 87.9, 88.0, 88.1, 88.2, 88.3, 88.4, 88.5, 88.6, 88.7, 88.8, 88.9, 89.0, 89.1, 89.2, 89.3, 89.4, 89.5, 89.6, 89.7, 89.8, 89.9, 90.0, 90.1, 90.2, 90.3, 90.4, 90.5, 90.6, 90.7, 90.8, 90.9, 91.0, 91.1, 91.2, 91.3, 91.4, 91.5, 91.6, 91.7, 91.8, 91.9, 92.0, 92.1, 92.2, 92.3, 92.4, 92.5, 92.6, 92.7, 92.8, 92.9, 93.0, 93.1, 93.2, 93.3, 93.4, 93.5, 93.6, 93.7, 93.8, 93.9, 94.0, 94.1, 94.2, 94.3, 94.4, 94.5, 94.6, 94.7, 94.8, 94.9, 95.0, 95.1, 95.2, 95.3, 95.4, 95.5, 95.6, 95.7, 95.8, 95.9, 96.0, 96.1, 96.2, 96.3, 96.4, 96.5, 96.6, 96.7, 96.8, 96.9, 97.0, 97.1, 97.2, 97.3, 97.4, 97.5, 97.6, 97.7, 97.8, 97.9, 98.0, 98.1, 98.2, 98.3, 98.4, 98.5, 98.6, 98.7, 98.8, 98.9, or 99.0 weight % of the hydrogel composition, where any of the stated values can form an upper or lower endpoint as appropriate.

20 **b) Crosslinking**

The hydrogels compositions can also contain varying degrees of cross-linking. Thus, in the methods disclosed herein for preparing the disclosed compositions, the methods can further comprise the addition of a crosslinking agent. For example, the hydrogels can comprise from about 0 to about 10 weight %, from about 0 to about 7 weight %, from about 0 to about 5 weight %, from about 0 to about 3 weight %, from about 0 to about 2 weight %, from about 0 to about 1 weight %, or from about 0 to about 0.5 weight percent of a crosslinking agent. In other examples, the hydrogel can comprise about 0, 0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9, 1.0, 1.1, 1.2, 1.3, 1.4, 1.5, 1.6, 1.7, 1.8, 1.9, 2.0, 2.1, 2.2, 2.3, 2.4, 2.5, 2.6, 2.7, 2.8, 2.9, 3.0, 3.1, 3.2, 3.3, 3.4, 3.5, 3.6, 3.7, 3.8, 3.9, 4.0, 4.1, 4.2, 4.3, 4.4, 4.5, 4.6, 4.7, 4.8, 4.9, 5.0, 5.1, 5.2, 5.3, 5.4, 5.5, 5.6, 5.7, 5.8, 5.9, 6.0, 6.1, 6.2, 6.3, 6.4, 6.5, 6.6, 6.7, 6.8, 6.9, 7.0, 7.1, 7.2, 7.3, 7.4, 7.5, 7.6, 7.7, 7.8, 7.9, 8.0, 8.1, 8.2, 8.3, 8.4, 8.5, 8.6, 8.7, 8.8, 8.9, 9.0, 9.1, 9.2, 9.3, 9.4, 9.5, 9.6, 9.7, 9.8, 9.9, or 10.0 weight % of a crosslinking agent, where any of the stated values can form an upper or lower endpoint as appropriate.

Examples of suitable crosslinking agents that can be used in the hydrogel precursor disclosed herein include, but are not limited to, glycerine, diethanolamine, triethanolamine, tetrahydroxyethylethylenediamine, trimellitic anhydride, benzenetricarboxylic acids and esters thereof, pyromellitic dianhydride, trimethylolpropane, 1,1,1-tris(hydroxymethyl)ethane, pentaerythritol, tartaric acid, citric acid, gallic acid, pyrogallol, divinylbenzene, triallylamine, divinylimidazole, N,N'-divinylethylenurea, products of the reaction of polyhydric alcohols with acrylic acid or methacrylic acid, methacrylic esters and acrylic esters of polyalkylene oxides or polyhydric alcohols which have been reacted with ethylene oxide and/or propylene oxide and/or epichlorohydrin, and allyl or vinyl ethers of polyhydric alcohols, for example 1,2-ethanediol, 1,4-butanediol, diethylene glycol, trimethylolpropane, sorbitan and sugars such as sucrose, glucose, mannose, pentaerythritol triallyl ether, macrodiisocyanates (MDIC), and allyl ethers of sugars such as sucrose, glucose, or mannose. In one specific example, the crosslinking agent can be methylenebisacrylamide.

5. Incorporation of spiropyran and hydrogel precursor

In one aspect, the disclosed composition can comprise a hydrogel and a spiropyran. The spiropyran can be incorporated or admixed with the hydrogel or hydrogel precursor. In another aspect, the spiropyran can be bonded to the hydrogel or hydrogel precursor. In yet another aspect, the spiropyran can be bonded to one or more hydrogel precursors, which are then used to prepare a hydrogel. By "bonded," or other forms of the word such as "bonds" or "bound," is meant any type of interaction between atoms in which there is a donation, acceptance, or sharing of electrons, or an electrostatic interaction. Some examples of bonds that can exist in the compositions disclosed herein include, but are not limited to, covalent bonds, sigma bonds, pi bonds, ionic bonds, dative bonds, and multi-center bonds. In one specific example, the disclosed spiropyranes are covalently bonded to the hydrogel or one or more hydrogel precursors.

Spiropyran such as spiropyran can be bonded to the hydrogel by copolymerization. For example, spiropyran can be co-polymerized with poly-N-isopropylacrylamide (PNIPAAm) to form hydrogel nanoparticles using the precipitation polymerization method. Poly-N-isopropylacrylamide (PNIPAAm) gel is one of the most used thermally responsive gels. It undergoes a drastic volume change from a swollen state for $T < T_c$ to a collapsed state for $T > T_c$, where T_c is the lower

critical solution temperature, approximately 34°C (Hirotzu, et al., *J. Chem. Phys.* 87:1392-1395 (1987)). The value of T_c can be increased by copolymerization of polar molecules or decreased by copolymerization of nonpolar molecules.

PNIPAAm is compatible with cells and has already been used for cell cultures (Kwon, et al., *J. Biomed. Mater. Res.* 50:82 (2000)). Recent experiments by the Hu group revealed that PNIPAm nanoparticles triggered lesser inflammatory and fibrotic responses than well known biomaterials poly-L-lactic acid (PLA) nanoparticles (Weng, et al., *J. Biomater. Sci., Polymer Ed.* 15:1167 (2004)). Usually nanoparticles are made by emulsion polymerization with a surfactant. In practice, complete removal of a surfactant from the resulted hydrogel precursors is required for biomedical application. But this removal can be difficult. In contrast, an advantage of the proposed method is to use SP instead. After polymerization, SP can be covalently bonded into the PNIPAAm network and change its role from a surfactant to a spiropyran.

15 a) Concentration

In the methods disclosed herein, the reaction with the spiropyrans represented by Formulae I-III and one or more hydrogels or hydrogel precursors can take place under various conditions. For example, the reaction can take place neat. In another aspect, the reaction can take place in any solvent. For example, the reaction can take place in an aqueous solvent, such as, but not limited to, water, aqueous hexane, aqueous ethanol, aqueous methanol, aqueous propanol, and the like. The reaction can also take place in non-aqueous solvents, such as, but not limited to, butanol, DMSO, DMF, THF, pyran, benzene, toluene, hexane, cyclohexane, pentane, cyclopentane, dichloromethane, dichloroethane, chloroform, carbon tetrachloride, tri and tetrachlorethane, octane, nitromethane, acetone, MEK, diethylether, diisopropyl ether, ethyl acetate, pyridine, and the like. In another example, the reaction can take place in a diphasic system containing an aqueous phase and an organic phase, such as those described herein. The amount of solvent used and the concentration of the spiropyran of Formulae I-III and/or hydrogel or hydrogel precursor will depend on the particular compound being prepared, the type of solvent, preference, and the like.

25 b) Temperature

The spiropyran of Formulae I-III can be reacted with the hydrogel or hydrogel precursor at any temperature sufficient to form a bond between the hydrogel precursor and the spiropyran. Typically, the reaction can take place at an elevated temperature.

The precise elevated temperature can depend on the particular compounds being used, the solvent, the amount or concentration of the reagents, preference, and the like. Suitable temperatures at which the compositions disclosed herein can be reacted include, but are not limited to, from about 20 to about 200°C, from about 50 to about 220°C, from about 70 to about 240°C, from about 90 to about 260°C, or from about 110 to about 280°C. In other examples, the temperature of the reaction can be at about 20, 25, 30, 35, 40, 45, 50, 55, 60, 65, 70, 75, 80, 85, 90, 95, 100, 105, 110, 115, 120, 125, 130, 135, 140, 145, 150, 155, 160, 165, 170, 175, 180, 185, 190, 195, 200, 205, 210, 215, 220, 225, 230, 235, 240, 245, 250, 255, 260, 265, 270, 275, 280, 285, 290, or 300°C, where any of the stated values can form an upper or lower endpoint when appropriate.

B. Methods of making hydrogel

The disclosed compositions can be used to form hydrogels. Formation of hydrogels from the disclosed compositions can be accomplished as described by Dinsmore: Dinsmore, et al., *Science* 298:1006 (2002); Dinsmore, et al., *Curr. Op. Colloid Interface Sci.* 5:5-11 (1998); Dinsmore, et al., *Appl. Opt.* 40:4152 (2001); Dinsmore, et al., *Nature* 383:239 (1996); Lin, et al., *Science* 299:226 (2003); Lin, et al., *J. Amer. Chem. Soc.* 125:12690 (2003); Boker, et al., *Nat. Mater.* 3:302-306 (2004); Nikolaides, et al., *Nature* 420:299-301 (2002); Nikolaides, et al., *Nature* 424:1014 (2003); Dinsmore, et al., *Appl. Phys. Lett.* 75:802 (1999); Breen, *Langmuir* 17:903-907 (2001), which are each incorporated by reference herein for their teachings of methods for preparing hydrogels and colloidosomes from micro and nanoparticles.

Further, microgels and nanogels can be prepared and characterized from the disclosed compositions as described in Hu, et al., *Science* 269:525-527 (1995); Hu, et al., *Nature* 393:149-152 (1998); Hu, et al., *Advanced Materials* 12:1173-1176 (2000); Hu, et al., *Adv. Mater.* 13:1708 and cover (2001); Wu, et al., *Physical Review Letters* 90 (2003), which are incorporated by reference herein for their teaching of preparing micro and nanogels.

In one aspect, the disclosed compositions can be prepared by polymerizing a hydrogel precursor with a spiropyran. In another aspect, the hydrogel can be polymerized with a spiropyran in the absence of a surfactant. Also disclosed are compositions prepared by the disclosed methods. As noted, the disclosed compositions can be a microgel, nanogel, colloidosome. Further, the disclosed

compositions can be decreased in size upon exposure to UV light or dark. In another example, the disclosed compositions can be increased in size upon exposure to visible light.

C. Pharmaceutical formulation

5 Also, disclosed herein are pharmaceutical formulations. In one aspect, a pharmaceutical formulation can comprise any of the compositions disclosed herein with a pharmaceutically acceptable carrier. For example, a pharmaceutical formulation can comprise a hydrogel composition disclosed herein, an encapsulated or sequestered pharmaceutical active, and a pharmaceutically acceptable carrier. The
10 disclosed pharmaceutical formulations can be used therapeutically or prophylactically.

By "pharmaceutically acceptable" is meant a material that is not biologically or otherwise undesirable, *i.e.*, the material may be administered to a subject without causing any undesirable biological effects or interacting in a deleterious manner with
15 any of the other components of the pharmaceutical formulation in which it is contained. The carrier would naturally be selected to minimize any degradation of the active ingredient and to minimize any adverse side effects in the subject, as would be well known to one of skill in the art.

Pharmaceutical carriers are known to those skilled in the art. These most
20 typically would be standard carriers for administration of drugs to humans, including solutions such as sterile water, saline, and buffered solutions at physiological pH. Suitable carriers and their formulations are described in *Remington: The Science and Practice of Pharmacy* (19th ed.) Gennaro, ed., Mack Publishing Company, Easton, PA, 1995, which is incorporated by reference herein for its teachings of carriers and
25 pharmaceutical formulations. Typically, an appropriate amount of a pharmaceutically-acceptable salt is used in the formulation to render the formulation isotonic. Examples of the pharmaceutically-acceptable carrier include, but are not limited to, saline, Ringer's solution and dextrose solution. The pH of the solution is preferably from about 5 to about 8, and more preferably from about 7 to about 7.5.
30 Further carriers include sustained release preparations such as semipermeable matrices of solid hydrophobic polymers containing the disclosed compounds, which matrices are in the form of shaped articles, *e.g.*, films, liposomes, microparticles, or microcapsules. It will be apparent to those persons skilled in the art that certain carriers can be more preferable depending upon, for instance, the route of

administration and concentration of composition being administered. Other compounds can be administered according to standard procedures used by those skilled in the art.

5 Pharmaceutical formulations can include additional carriers, as well as thickeners, diluents, buffers, preservatives, surface active agents and the like in addition to the compounds disclosed herein. Pharmaceutical formulations can also include one or more additional active ingredients such as antimicrobial agents, antiinflammatory agents, anesthetics, and the like.

10 The pharmaceutical formulation can be administered in a number of ways depending on whether local or systemic treatment is desired, and on the area to be treated. Administration may be topically (including ophthalmically, vaginally, rectally, intranasally), orally, by inhalation, or parenterally, for example by intravenous drip, subcutaneous, intraperitoneal or intramuscular injection. The disclosed compounds can be administered orally, intravenously, intraperitoneally, 15 intramuscularly, subcutaneously, intracavity, or transdermally.

Pharmaceutical formulations for oral administration include, but are not limited to, powders or granules, suspensions or solutions in water or non-aqueous media, capsules, sachets, or tablets. Thickeners, flavorings, diluents, emulsifiers, dispersing aids, anti-oxidants, or binders may be desirable.

20 Pharmaceutical formulations for parenteral administration include sterile aqueous or non-aqueous solutions, suspensions, and emulsions. Examples of non-aqueous solvents are propylene glycol, polyethylene glycol, vegetable oils such as olive oil, fish oils, and injectable organic esters such as ethyl oleate. Aqueous carriers include water, alcoholic/aqueous solutions, emulsions or suspensions, including saline and buffered media. Parenteral vehicles include sodium chloride solution, Ringer's 25 dextrose, dextrose and sodium chloride, lactated Ringer's, or fixed oils. Intravenous vehicles include fluid and nutrient replenishers, electrolyte replenishers (such as those based on Ringer's dextrose), and the like. Preservatives and other additives may also be present such as, for example, antimicrobials, anti-oxidants, chelating agents, and 30 inert gases and the like.

Pharmaceutical formulations for topical administration may include ointments, lotions, creams, gels, drops, suppositories, sprays, liquids and powders. Conventional pharmaceutical carriers, aqueous, powder or oily bases, thickeners and the like may be necessary or desirable.

Some of the formulations can potentially be administered as a pharmaceutically acceptable acid- or base- addition salt, formed by reaction with inorganic acids such as hydrochloric acid, hydrobromic acid, perchloric acid, nitric acid, thiocyanic acid, sulfuric acid, and phosphoric acid, and organic acids such as formic acid, acetic acid, propionic acid, glycolic acid, lactic acid, pyruvic acid, oxalic acid, malonic acid, succinic acid, maleic acid, and fumaric acid, or by reaction with an inorganic base such as sodium hydroxide, ammonium hydroxide, potassium hydroxide, and organic bases such as mono-, di-, trialkyl and aryl amines and substituted ethanolamines.

Examples of pharmaceutical actives that can be used in the disclosed hydrogels include, but are not limited to, adrenocortical steroid; adrenocortical suppressant; aldosterone antagonist; amino acid; anabolic; androgen; antagonist; anthelmintic; anti-acne agent; anti-adrenergic; anti-allergic; anti-amebic; anti-androgen; anti-anemic; anti-anginal; anti-arthritic; anti-asthmatic; anti-atherosclerotic; antibacterial; anticholelithic; anticholelithogenic; anticholinergic; anticoagulant; anticoccidal; antidiabetic; antidiarrheal; antidiuretic; antidote; anti-estrogen; antifibrinolytic; antifungal; antiglaucoma agent; antihemophilic; antihemorrhagic; antihistamine; antihyperlipidemia; antihyperlipoproteinemic; antihypertensive; antihypotensive; anti-infective; anti-infective, topical; anti-inflammatory; antikeratinizing agent; antimalarial; antimicrobial; antimitotic; antimycotic, antineoplastic, antineutropenic, antiparasitic; antiperistaltic, antipneumocystic; antiproliferative; antiprostatic hypertrophy; antiprotozoal; antipruritic; antipsoriatic; antirheumatic; antischistosomal; antiseborrheic; antisecretory; antispasmodic; antithrombotic; antitussive; anti-ulcerative; anti-urolithic; antiviral; appetite suppressant; benign prostatic hyperplasia therapy agent; bone resorption inhibitor; bronchodilator; carbonic anhydrase inhibitor; cardiac depressant; cardioprotectant; cardiotonic; cardiovascular agent; choleric; cholinergic; cholinergic agonist; cholinesterase deactivator; coccidiostat; diagnostic aid; diuretic; ectoparasiticide; enzyme inhibitor; estrogen; fibrinolytic; free oxygen radical scavenger; glucocorticoid; gonad-stimulating principle; hair growth stimulant; hemostatic; hormone; hypocholesterolemic; hypoglycemic; hypolipidemic; hypotensive; immunizing agent; immunomodulator; immunoregulator; immunostimulant; immunosuppressant; impotence therapy adjunct; inhibitor; keratolytic; LHRH agonist; liver disorder treatment, luteolysin; mucolytic; mydriatic; nasal decongestant;

neuromuscular blocking agent; non-hormonal sterol derivative; oxytocic; plasminogen activator; platelet activating factor antagonist; platelet aggregation inhibitor; potentiator; progestin; prostaglandin; prostate growth inhibitor; prothyrotropin; pulmonary surface; radioactive agent; regulator; relaxant; repartitioning agent; scabicide; sclerosing agent; selective adenosine A1 antagonist; steroid; suppressant; symptomatic multiple sclerosis; synergist; thyroid hormone; thyroid inhibitor; thyromimetic; amyotrophic lateral sclerosis agents; Paget's disease agents; unstable angina agents; uricosuric; vasoconstrictor; vasodilator; vulnerary; wound healing agent; and/or xanthine oxidase inhibitor.

10 **D. Methods of Use**

The disclosed compositions have many uses. For example, the disclosed hydrogels can be applied to controlled drug release applications (Huang, et al., *J. of Controlled Release* 94:303-311 (2004)). For example, disclosed herein is an approach to encapsulating materials (*e.g.*, cells, small molecules, drugs, pharmaceuticals, and
15 nutraceuticals) in self-assembled capsules with controlled architecture and permeability that can be dynamically changed (by exposure to light for example).

In a specific aspect, the compositions disclosed herein can be in a form known as colloidosomes (Dinsmore, et al., *Science* 298:1006 (2002); Gordon, et al., *J. Am. Chem. Soc.* 126:14117-14122 (2004)), which comprise spherical shells composed of a
20 single, densely-packed layer of crosslinked nano- or microparticles (*see* Fig. 1). Interstices between the particles in the shell provide pores of precisely controlled size, allowing solvent and small molecules to permeate the shell. Larger encapsulated materials, however, are entrapped by the pores, which may be varied in size from a few nanometers to micrometers. Although colloidosomes have been reviewed with
25 enthusiasm (Gouin, *Trends Food Sci. Technol.* 15:330-347 (2004); Dove, *Nature Biotechnology* 20:1213 (2002) to date the investigations have used inert model materials. By the methods disclosed herein, cells can be successfully encapsulated. The capsule can be formed by directed assembly.

Colloidosomes can be synthesized using monodisperse hydrogel nanoparticles
30 as disclosed herein as building blocks. Also, as disclosed herein, photoactive spiropyrans can be incorporated into the hydrogels. In response to environmental stimuli such as temperature, pH, and light exposure, these hydrogel particles can change their volume by several orders of magnitude, changing the permeability of colloidosomes.

The disclosed composition (hydrogels, colloidosomes) can be used to deliver various agents and materials. The encapsulated material or loading material can include, but is not limited to, cells, bioactive yeast cells, pharmaceuticals, nutritional supplements, oligonucleotides (*e.g.*, DNA), peptides, proteins, and the like. One
5 specific use contemplated herein is the use of the disclosed compositions to deliver oligonucleotides such as DNA, RNA, and antisense oligonucleotides.

Antisense oligonucleotides (AS-ODN) show great potential as gene therapy agents, but are limited by the requirement for high doses, non-specific uptake, toxic side effects, and quick degradation. Moreover, due to their charge and polarity, they
10 have low cellular uptake. To overcome these problems, various delivery vectors such as liposomes have been devised which have been able to achieve greater transfection efficiency. However, despite their ability to serve as depots for gene delivery, liposomes neither target specific tissues nor exhibit high levels of DNA release intracellularly. The future of gene therapy is in gene carriers that can target specific
15 tissues to result in selective inhibition while avoiding any systemic toxicity (Fiset and Gounni, *Rev. Biol. Biotechnol.* 1:27 (2001)).

As an example, many approaches have been developed to suppress intracellular adhesion molecule -1 (ICAM-1) activity, which has an important role in inflammatory reactions, including anti-ICAM-1 antibodies, AS-ODNs for ICAM-1,
20 and genetic knockouts (Kelly, et al., *J. Clin. Inv.* 97:1056 (1996); Haller, et al., *Kidney Int.* 53:1550 (1998). As shown in Figure 8, AS-ODNs in particular have shown the greatest efficacy by improving functional kidney parameters such as serum creatinine levels and glomerular filtration rates as well as renal histology (Chen, et al., *Transplantation* 68:880 (1999); Dragun, et al., *Kidney Int.* 54:590 (1998)). Therefore,
25 they have been implemented as preventative treatments in models of I/R injury, organ transplant rejection, and inflammatory diseases (Feeley, et al., *Transplantation* 69:1067 (2000); Chen, et al., *Transplantation* 68:880 (1997); Stepkowski, et al., *Transplantation* 66:699 (1998)). However, this promising AS-ODN treatment has been limited by the lack of effective delivery vectors and methods. Therefore, much
30 of the current work in the field of gene therapy has been concerned with the discovery of safe, target-specific vectors for AS-ODN uptake into cells. These include viral vectors, cationic lipids and macromolecules, activated dendrimers, and polymeric nanoparticles (Kausch, et al., *J. Urol.* 168:239 (2002); Pouton, et al., *Adv. Drug. Revs.* 46:187 (2001); Ritter, et al., *Curr. Gene Ther.* 5:101 (2005); Deglon, et al., *J. Gene*

Med. (2005); Shoji, et al., *Curr. Pharm. Des.* 7:785 (2004)). In particular, synthetic cationic polymer carriers such as lipofectin and DOTAP (N-(1-(2,3-dioleoxy)propyl)-N,N,N,-trimethylammonium methyl-sulfate) have shown promising results by forming a cationic complex with the anionic DNA that could electrostatically interact with the cell membrane, resulting in highly efficient endocytosis of the complex (Brown, et al., *Int. J. Pharm.* 229:1 (2001); Gao, et al., *Gene Ther.* 2:710 (1995)). Once internalized, the complex undergoes disassociation, releasing the DNA to inhibit its target genes.

A major drawback of this approach, however, was that the strong association bonds required between the polymer and DNA made it difficult for subsequent disassociation to take place inside the cell, resulting in low transfection efficiency (Weyermann, et al., *J. Control Release*, 100:411 (2004)). Many other conventional cationic polymer gene carriers have also been limited by the intermediate binding strengths of their complexes during gene transfection (Zhang, et al., *J. Control Release* 100:165 (2004)).

At the *in vivo* level, such gene therapies are further limited by the available methods employed to deliver these gene vectors to targeted tissues and organs. One technique that has been used is gene transfer into explanted cells followed by their implantation back into the appropriate tissues (Miller, *Nature* 357:455 (1992)). Other methods include intra-arterial injections, injection directly into the tissues, topical application, or uptake *via* inhalation (Galanis, et al., *Crit. Rev. Oncol. Hematol.* 38:177 (2001); Nakamura, et al., *Gene Ther.* 5:1455 (1998); Ledley, et al., *Hum. Gene Ther.* 6:1129 (1995)). These routes of administration have several drawbacks such as the transduction rarely being selective enough to use small quantities of the gene-containing vector, and the vector uptake not being sufficiently confined to the target organs leading to unwanted systemic prevalence of the genetic material and the associated side effects (Fiset, et al., *Rev. Biol. Biotechnol.* 1:27 (2001); Takakura, et al., *Adv. Drug Deliv. Rev.* 34:93 (1998); Bally, et al., *Adv. Drug. Deliv. Rev.* 38:291 (1999)). Moreover, even though over 14 antisense drugs were being tested in clinical trials in 2002, leading to the FDA approval of the first antisense drug for the treatment of human cytomegalovirus retinitis in patients with AIDS (Marwick, *J. Amer. Med. Assoc.* 280:871 (1998)), gene therapy trials have been impeded due to immunogenic and pathogenic problems associated with the vectors and delivery methods (Thomas, et al., *Nature Genetics* 4:346 (2003)).

Acute renal failure is usually the result of diabetic nephropathy, hypertension, glomerulonephritis, and ischemic injury. Gene therapy has been attempted in experimental animals that have been modeled for each of these conditions, especially in the study of ischemic injury. In particular, the genes iNOS and ICAM-1 have been identified as important mediators of ischemic injury that can be targeted by gene therapy. Some of the vectors that have been used include liposomes, polycations, viral fusion proteins, electroporation, and hydrodynamic-based gene transfer. However, these techniques have experienced major challenges, including how to prolong and control transgene expression or antisense inhibition and how to minimize the adverse, non-specific side-effects of viral and nonviral vectors.

The hydrogels and methods disclosed herein address *in vitro* and *in vivo* limitations of gene therapy by using small quantities of nanogel polymers (*e.g.*, PNIPAAm nanogel polymers) as vectors to deliver therapeutic AS-ODN to organs and tissues. PNIPAAm polymers have been identified as gene uptake vectors for DNA both *in vitro* and *in vivo*, proving to be capable of equally associating and disassociating strong complexes with DNA (Yokoyama, *Drug Disc. Today* 7:426 (2002); Hinrichs, et al., *J. Cont. Release* 60:249 (1999); Saunders and Vincent, *Adv. Coll. Interface Sci.* 80:1 (1999); Kurisawa, et al., *J. Controlled Release* 69:127 (2000)). Since the complex binding strength is not mutually exclusive, the compositions disclosed herein are an improvement over existing polymers which have not been efficient in selectively disassociating DNA during transfection. The disclosed compositions can have photoactive properties that can be bioengineered for various applications. When coupled with a spiropyran functional group, the composition contracts and expands upon exposure to light stimuli (Garcia, et al., *J. Phys. Chem.* 104:6103 (2000)). As a result, PNIPAAm can be selectively induced to associate or disassociate its contents by the use of an externally modulated light source. This makes it a highly-specific gene delivery vector that can be custom-tailored for a variety of biological systems (Figure 9). Moreover, the strong complex formed between PNIPAAm and DNA has the effect of protecting the DNA from degradation by nucleases (Murata, et al., *Bioorg. Med. Chem. Lett.* 17:3967 (2003)), and PNIPAAm in a globule state has exhibited excellent cellular membrane permeability (Oupicky, et al., *J. Controlled Release* 65:149 (2000)). Another significant feature of the disclosed compositions as gene carriers is their low cytotoxicity which can be reduced even further as the charge density is decreased

(Choksakulnimitr, et al., *J. Controlled Release* 34:233 (1995)). Therefore, by creating a photoactive nanogel polymer that can selectively and safely release active molecules such as DNA into cells, the transfection efficiency and therapeutic effects of AS-ODN for example can be enhanced.

5 Using the disclosed compositions to deliver nucleic acids, such as AS-ODN, can have a positive impact on the short life expectancies of the large numbers of ARF patients on dialysis or awaiting transplants. Blocking the destructive effects of ICAM-1 can improve healing and preserve organ function following I/R injury during cancer surgery, transplantation, or shock, while enhancing the effects of PAX-2 by
10 inhibiting Activin-A could serve to regenerate injured kidney tissue. Moreover, the unique ability of the disclosed compositions to be externally modulated before and after incorporation offers a substantial benefit to patients by being more specific and effective while having less toxicity than systemic therapy.

 The disclosed photoactive carrier technology can also be applied in a variety
15 of medical specialties to help overcome other sources of ischemic injury seen during vascular surgery, heart and lung transplants, and after cerebrovascular accidents. It can also be used to target a wide range of diseases including, for example, cancer, cystic fibrosis, alpha-1-anti-trypsin deficiency, and familial colon polyposis, where efficient transfection of genes is a major challenge. As a result, this delivery system
20 can be used as a universal vector for many different purposes with the ability to be custom-tailored for specific organ systems.

 In another example, the disclosed hydrogels can be coupled to a targeting moiety and targeted to a particular cell type, via antibodies, receptors, or receptor ligands. The following references are examples of the use of this technology to target
25 specific tissue (Senter, *et al.*, *Bioconjugate Chem* 2:447-51, 1991; Bagshawe, *Br J Cancer* 60:275-81, 1989; Bagshawe, *et al.*, *Br J Cancer* 58:700-3, 1988; Senter, *et al.*, *Bioconjugate Chem* 4:3-9, 1993; Battelli, *et al.*, *Cancer Immunol Immunother* 35:421-5, 1992; Pietersz and McKenzie, *Immunolog Reviews* 129:57-80, 1992; and Roffler, *et al.*, *Biochem Pharmacol* 42:2062-5, 1991). These techniques can be used for a
30 variety of other specific cell types.

1. Dosage

 When used in the above described methods or other treatments disclosed herein, an "effective amount" of one of the disclosed compounds can be employed in

pure form or, where such forms exist, in pharmaceutically acceptable salt form and with or without a pharmaceutically acceptable excipient, carrier, or other additive.

The specific effective dose level for any particular subject will depend upon a variety of factors including the condition or disease being treated and the severity of the condition or disease; activity of the specific compound employed; the specific composition employed; the age, body weight, general health, sex and diet of the subject; the time of administration; the route of administration; the rate of excretion of the specific compound employed; the duration of the treatment; drugs used in combination or coincidental with the specific compound employed and like factors well known in the medical arts. For example, it is well within the skill of the art to start doses of the compound at levels lower than those required to achieve the desired therapeutic effect and to gradually increase the dosage until the desired effect is achieved. If desired, the effective daily dose may be divided into multiple doses for purposes of administration. Consequently, single dose compositions may contain such amounts or submultiples thereof to make up the daily dose.

The dosage can be adjusted by the individual physician or the subject in the event of any counterindications. Dosage can vary, and can be administered in one or more dose administrations daily, for one or several days. Guidance can be found in the literature for appropriate dosages for given classes of pharmaceutical products.

2. Administration and delivery

In one aspect, disclosed herein are uses of a hydrogel composition to deliver a loading substance to a subject, wherein the microcapsule contains any of the compounds disclosed herein. Also disclosed are methods for delivering a compound (*e.g.*, DNA) to a subject by administering to the subject any of the compositions disclosed herein.

The compositions disclosed herein can be administered orally, parenterally (*e.g.*, intravenously), by intramuscular injection, by intraperitoneal injection, transdermally, extracorporeally, topically or the like, including topical intranasal administration or administration by inhalant. As used herein, "topical intranasal administration" means delivery of the compositions into the nose and nasal passages through one or both of the nares and can comprise delivery by a spraying mechanism or droplet mechanism, or through aerosolization of the nucleic acid or vector. Administration of the compositions by inhalant can be through the nose or mouth via

delivery by a spraying or droplet mechanism. Delivery can also be directly to any area of the respiratory system (*e.g.*, lungs) via intubation.

VI. EXAMPLES

The following examples are put forth so as to provide those of ordinary skill in the art with a complete disclosure and description of how the compounds, compositions, articles, devices, and/or methods described and claimed herein are made and evaluated, and are intended to be purely exemplary and are not intended to limit the scope of what the inventors regard as their invention. Efforts have been made to ensure accuracy with respect to numbers (*e.g.*, amounts, temperature, etc.) but some errors and deviations should be accounted for. Unless indicated otherwise, parts are parts by weight, temperature is in °C or is at ambient temperature, and pressure is at or near atmospheric. There are numerous variations and combinations of conditions, *e.g.*, component concentrations, desired solvents, solvent mixtures, temperatures, pressures and other reaction ranges and conditions that can be used to optimize the methods described herein. Only reasonable and routine experimentation will be required to optimize such process conditions.

In the following examples, *N*-isopropylacrylamide was obtained from Polysciences Inc. (Warrington, PA) and used as received. The cross-linker *N,N'*-methylenebis(acrylamide) (MBAAm) was purchased from Bio-Rad Co. (Hercules, CA). The potassium persulfate (KPS) were both bought from Aldrich Chemical Co. (Milwaukee, WI) and used as received. Distilled and deionized water (resistance of 18 M Ω ·cm) was used throughout. A 0.5 μ m Millipore (Millex LCR25; Millipore, Billerica, MS) filter was used to purify the dilute sample solutions.

A. Example 1: Synthesis of PNIPAM-SP nanoparticles

PNIPAAm-SP nanoparticles were prepared by copolymerizing NIPAAm along with a spiropyran derivative having an unsaturated allylamide linker (*see* Figure 2 bottom panel); this is a modification of the precipitation polymerization method of Pelton and Chibante, *Coll. Surf.* 20:247 (1986). Spiropyran allylamide (0.009 g) was dissolved in pH 9, NaOH / deionized water solution at 40 to 50°C. Then, NIPAAm monomer (0.6 g) and a cross link agent *N,N'*-methylene-bis-acrylamide (BIS) (0.013 g) were added into this solution. The solution was stirred at 300 rpm for 30 min under a nitrogen environment. An initiator, potassium persulfate (KPS) (0.02 g), dissolved in 2 mL of deionized water was added to start the reaction. Two batches of pre-gel solutions were prepared with pH 6 and pH 8, respectively. The reaction was taken at

temperature at 70°C under N₂ gas for 4 hours under dark to ensure that all the monomer was reacted. Raising the temperature to 70°C was needed to have precipitation polymerization. After cooling to room temperature, the PNIPAAm-SP nanoparticle dispersions were condensed using an ultracentrifuge with speed of
5 40,000 rpm for 1 hour (h).

Based on the mole % of spiropyran incorporated within the reaction media, the hydrogels were estimated to contain about 1% of spiropyran. Epifluorescence microscopy of the macrogels and fluorescence spectroscopy of the nanogels showed that they fluoresced when they were irradiated with UV light or after being left in the
10 dark, and were non-fluorescent after visible irradiation. This indicated that the pendant spiropyran groups in the polymer were still photochemically active after the polymerization.

B. Example 3: Composition Characterization

The nanoparticles prepared according to Example 1 were characterized by
15 light scattering measurements. For these measurements, 10 mL aliquot samples were taken from the reaction container at different times after the reaction started; all aliquots were dialyzed for dynamic light scattering analysis. A commercial laser light scattering spectrometer (ALV, Co., Germany) was used with a helium-neon laser (Uniphase 1145P, output power of 22 mW and wavelength of 632.8 nm) as the light
20 source.

Figure 3 shows the hydrodynamic radius distributions ($f(R_h)$) of PNIPAAm-SP nanoparticles prepared according to Example 1 under dark in deionized water at pH 6 and pH 8 respectively. At pH 6, PNIPAAm-SP nanoparticles have an average hydrodynamic radius around 500 nm, similar to ones obtained by Pelton without
25 surfactant (Pelton and Chibante, *Coll. Surf.* 20:247 (1986)). However, at pH 8, the resultant PNIPAAm-SP nanoparticles have R_h around 150 nm. Such small PNIPAAm nanoparticles were usually made in the presence of surfactant. While not wishing to be bound by theory, it is believed that this may be because SP became ionized in dark at pH 8 than pH 6 so that each molecule acts like a surfactant molecule. In practice,
30 complete removal of a surfactant from the resulted hydrogel precursors can be desired for biomedical applications. This study revealed that spiropyran SP at pH 8 acted as a surfactant, resulting in surfactant-free monodisperse PNIPAM-SP nanoparticles with particle size smaller than 300 nm. After polymerization, spiropyran was covalently

bonded into the PNIPAAm network and changed its role from a surfactant to a spiropyran.

Further, these nanoparticles of Example 1 can change their size under various light conditions. Specifically, when exposed to visible light, the spiropyran undergoes an isomerization wherein the spiro linkage is severed, resulting in a highly polar “open” form that is colored (typically absorbing near 530 nm). This causes the particles to expand as shown in Figure 6a. The volume phase transition in gels can be due to the change in the osmotic pressure by the external stimuli, and the rate-determining step of the deformation is the diffusion process. Therefore, the response can be too slow for bulk gels. Due to small dimension, the responsive rate of PNIPAM-SP nanoparticles can be much faster.

These nanoparticles also change their size in response to pH changes as shown in Figure 4 bottom graph. At higher pH (*e.g.*, pH 9), the particles expand due to the polar “open” form. One reason to study spiropyran-NIPA hydrogels is that unlike azobenzene and leucohydroxides, upon UV irradiation spiropyran is converted to a zwitterionic form in aqueous solution. This leads to more versatile charge-electric field applications at different pH values along with unique interactions with biological molecules.

The hydrodynamic radius of PNIPAAm-SP nanoparticles is plotted as a function of temperature at various light conditions (Figure 5 top graph) and at various pH values (Figure 5 bottom graph). Under all temperatures studied (15° to 35°C), the UV irradiated particles were always smaller than those under visible irradiation. Electrophoresis measurements performed on the nanogels confirmed that the particles underwent a large increase in surface charge (from 0.001 to 0.020 C/m²) when the wavelengths of irradiation were changed from visible to UV. In contrast, macroscopic samples (“macro gels”) were found to swell about 10 % under UV irradiation relative to under visible irradiation. While not wishing to be bound by theory, it is believed that this can be explained by the UV-induced ionic groups on the spiropyrans. It is further believed that in the nanogels, the UV-generated polar groups undergo aggregation due to static electric interaction that causes the particles to shrink.

The temperature that the radius versus temperature curves undergoes the sharpest change is defined as the volume phase transition temperature T_c . Like the neutral PNIPAAm gel, the PNIPAAm-SP nanoparticles undergo a drastic volume

change from a swollen state for T less than T_c to a collapsed state for T greater than T_c , where T_c is approximately 34°C. The value of T_c can be increased by copolymerization of polar molecules or decreased by copolymerization of nonpolar molecules. In the compositions disclosed herein, T_c is smaller for PNIPAAm-SP nanoparticles under dark than under visible light (Figure 5 top graph), indicating that the attractive interaction force between charged SP ions dominate. On the other hand, T_c is smaller at pH 3 than at pH 9 (Figure 5 bottom graph), indicating that the gels are more hydrophobic in lower pH. While not wishing to be bound by theory, it is believed that there are attractive interactions among SP molecules at lower pH.

Under dark and pH 8, the SP molecule behaves like a surfactant. Without any surfactant, a particle radius of approximately 150 nm can be obtained. This confirms the surfactant-like effect of the SP. Such small sized PNIPAAm nanoparticles can only be obtained in the presence of a surfactant. More remarkably, the surfactant free PNIPAAm-SP particles were also monodisperse and can self-assemble into a crystalline lattice at polymer concentration around 8 weight %. The PNIPAAm-SP nanoparticles have been concentrated using ultra-centrifugation with the speed of 40,000 rpm for 2 h. Aqueous dispersions of these particles with polymer concentration around 8 weight % exhibit bright colors, indicating the formation of an ordered structure as shown in Figure 6a. This structure has been further confirmed by measuring the turbidity of the samples using a UV-visible spectroscopy (Agilent 8453). Corresponding to the appearance of colors, the turbidity of the dispersions exhibits a sharp peak at a certain wavelength λ_c as shown in Figure 6b. The color originates from Bragg diffraction. Constructive interference occurs if Bragg condition $2nds\sin\theta = m\lambda$, is satisfied, where d , θ , n , λ , m are the lattice spacing, the diffraction angle, the refractive index of the gel medium, the wavelength of light in vacuum and an integer, respectively. The peak disappears when the temperature is above T_c .

In summary, in addition to thermally responsive behavior that is associated with the PNIPAAm gel, the nanoparticles disclosed herein change their volume and hydrophobicity in response to light and pH. Studies of the swelling of PNIPAAm-SP particles revealed the astonishing result that the nanoscale-sized particles *shrink* upon UV irradiation (Fig. 3), whereas the macro-gels swell. Under all temperatures studied (15°–35°C), the UV irradiated particles were always smaller than those under visible

irradiation. The most dramatic difference occurs at 33°C where the VIS irradiated particles are 520 nm in radius while the UV irradiated particles are at 260 nm radius (results for this sample not shown). Electrophoresis measurements performed on the nanogels confirmed that the particles underwent a large increase in surface charge (from about 0.001 to about 0.020 C/m²) when the wavelengths of irradiation were changed from visible to UV. In contrast, macroscopic samples (macrogels) were found to swell about 10 % under UV irradiation relative to under visible irradiation, which can be explained by the UV-induced ionic groups on the spiropyrans. We theorize that in the nanogels, the UV-generated polar groups undergo aggregation that causes the particles to shrink.

C. Example 3: Proposed Modifications

To provide functional groups to the particles of Example 1, at least three different chemicals can be added to the pre-polymer solution, respectively: acrylic acid (AA), 2-hydroxyethyl acrylate (HEAc), and allylamine. The AA, HEAc, and allylamine provide carboxyl (-COOH), hydroxyl (-OH), and amine (NH₃) groups, respectively, which can serve as crosslinking sites to neighboring particles. Various schemes have been proposed to bond nanoparticles with different functional groups (Z. B. Hu, X. Lu, J. Gao, "Hydrogel Opals," *Adv. Mater.* 13, 1708 and cover (2001); Hu and Huang, *Angew. Chemie, Int. Ed.* 42:4799 (2003)).

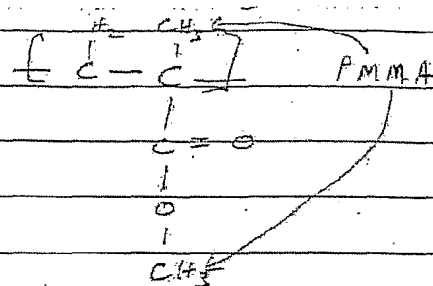
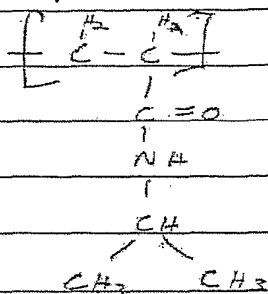
D. Example 4

Monodisperse nanoparticles of poly-N-isopropylacrylamide (PNIPAAm) were synthesized and were able to be controlled by their lower critical solution temperature (LCST). The LCST was easily modulated by the addition of hydrophilic co-monomers to the polymer chain, leading to the custom synthesis of these molecules possible. During controlled release experiments using DNA and dextran markers, the nanogel showed optimum release at temperatures below the LCST, as it expanded and disassociated the DNA. Moreover, it was found that the binding strength during association (loading) and subsequent disassociation (delivery) of these complexes was not mutually inclusive. Therefore, the composition was able to protect its contents from degradation while equally retaining the ability to efficiently release its contents. As a result, this composition can offer substantial improvements over conventional cationic gene carriers which require high doses to exert an effect because of their low binding strengths (Huang, et al., *J. Controlled Release* 94: 303 (2004)).

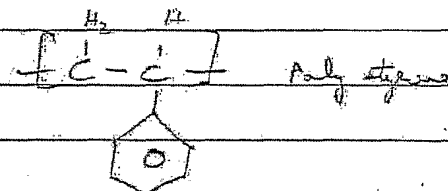
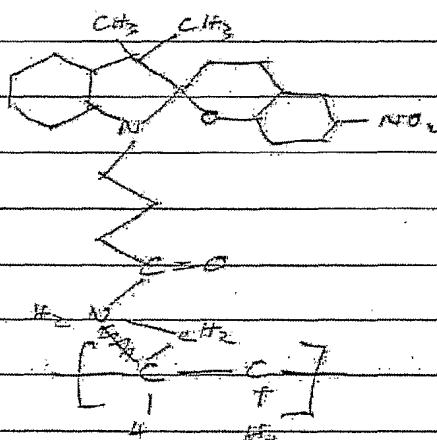
EXAMPLE 5

N-Isopropyl acrylamide

NIPA

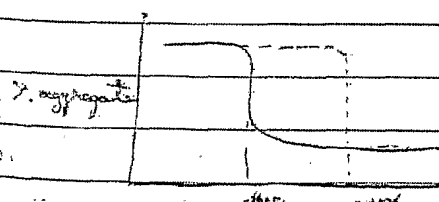
~~SP-allyl~~

SP-allyl amide

The LCST of NIPA is $\sim 31^\circ\text{C}$ (T_c)

It is the temperature at which the hydrogen bonding between the polymer chain and water equals the hydrophobic bonding between the polymer chains.

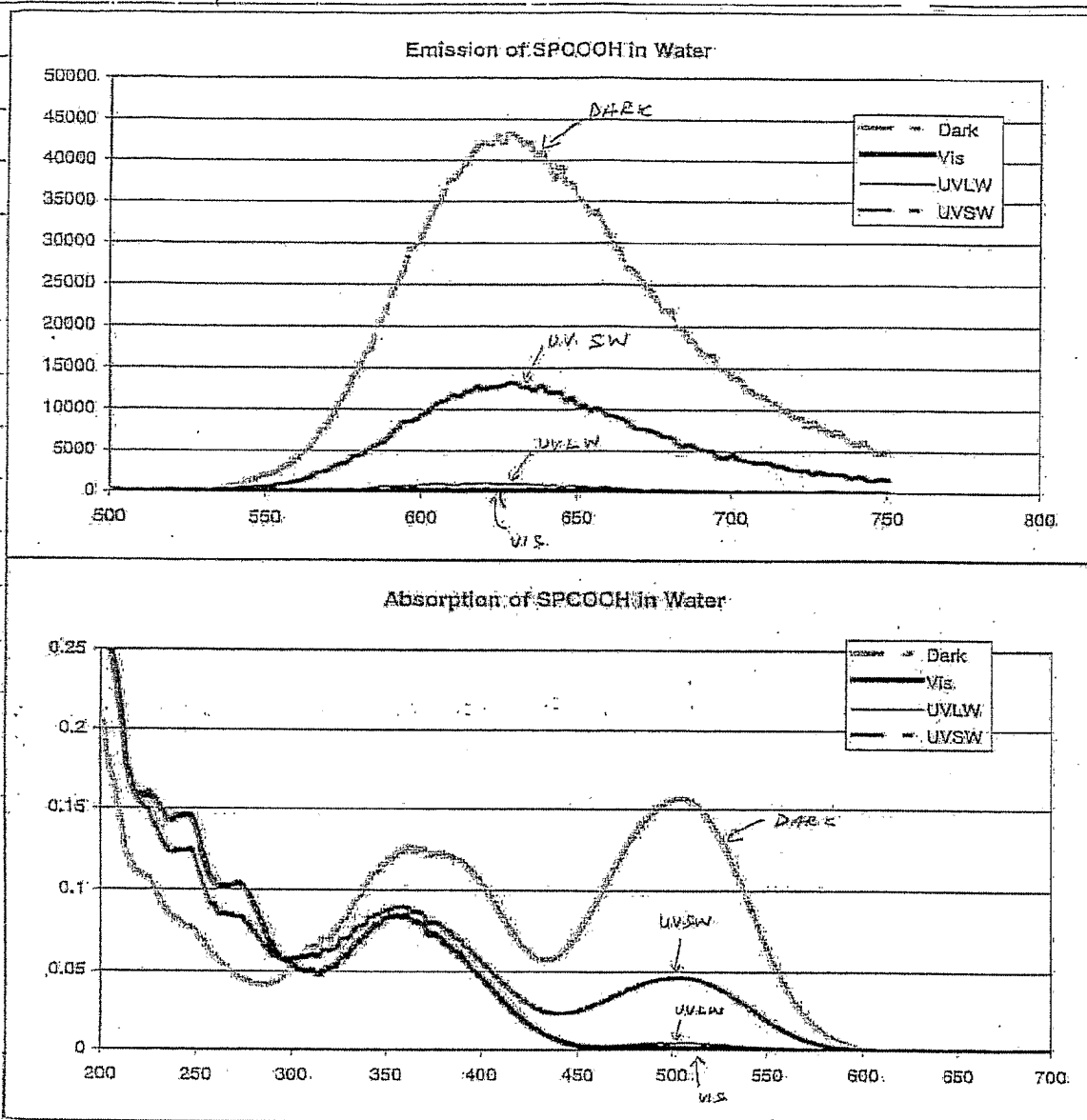
It is our hypothesis that the LCST of NIPA gels containing SP-allyl pendant groups may be modified by wavelength of light due to dipole/charge creation removal.



Continued on Page

EXAMPLE 6

Our measurements of SP-COOH in water.



Comparing the spectra, we may need to

① Use VIS & UV light to switch the NIPAA-IP gel

② Use fluorescence to detect switching.

This will tell us if the spiro in the gel is active. Continued on Page

Samples Received from Prof. Zhilong Hu

Sample 1 : 5% NIPA gel w/ 5mg SP-allylamide

Sample 2 : 5% NIPA gel w/ 8mg SP-allylamide

Sample 3 : 5% NIPA gel w/ 22mg SP-allylamide

Sample 4 : 5% NIPA gel w/ unknown SP-allylamide

-The samples looked transparent & pale yellow in color with undissolved clumps of spiropyran (particles) which were deep red in color.

-When the sample tubes were heated by holding them at a particular temperature they suddenly clouded up and became opaque & white. This could be reversed by cooling. The time scale for this transition was of the order of 10 - 25 sec.

-The samples were stored in tightly sealed tubes at 5°C and equilibrated to room temperature before any testing.

Continued on Page

EXAMPLE 7

Aim - To examine whether the NIPA-SP gels showed changes in their emission under UV and VISIBLE irradiation.



Room
LIGHT

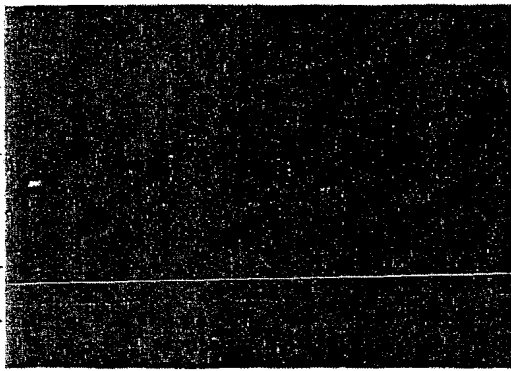
Sample examined: Sample 1, 5%
NIPA gel w/5 mg SP-allylbis.

Settings: 20X objective
Bright field & fluorescence
1 second read fluorescence
N2-1 filter cube

590-665 nm emission

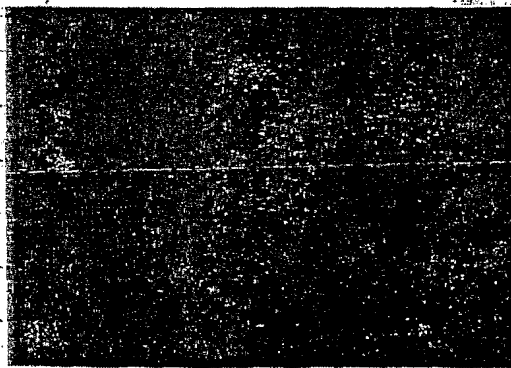
515-560 nm excitation

Method:



VISIBLE
LIGHT
15 MIN.
515-560 nm

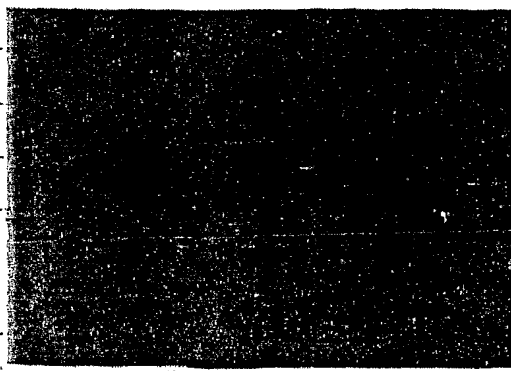
A small piece of gel was
smashed onto a microscope
slide, a drop of water
was placed over it and
it was irradiated with
the chosen wavelength.



UV
LIGHT
15 min
N-366 nm

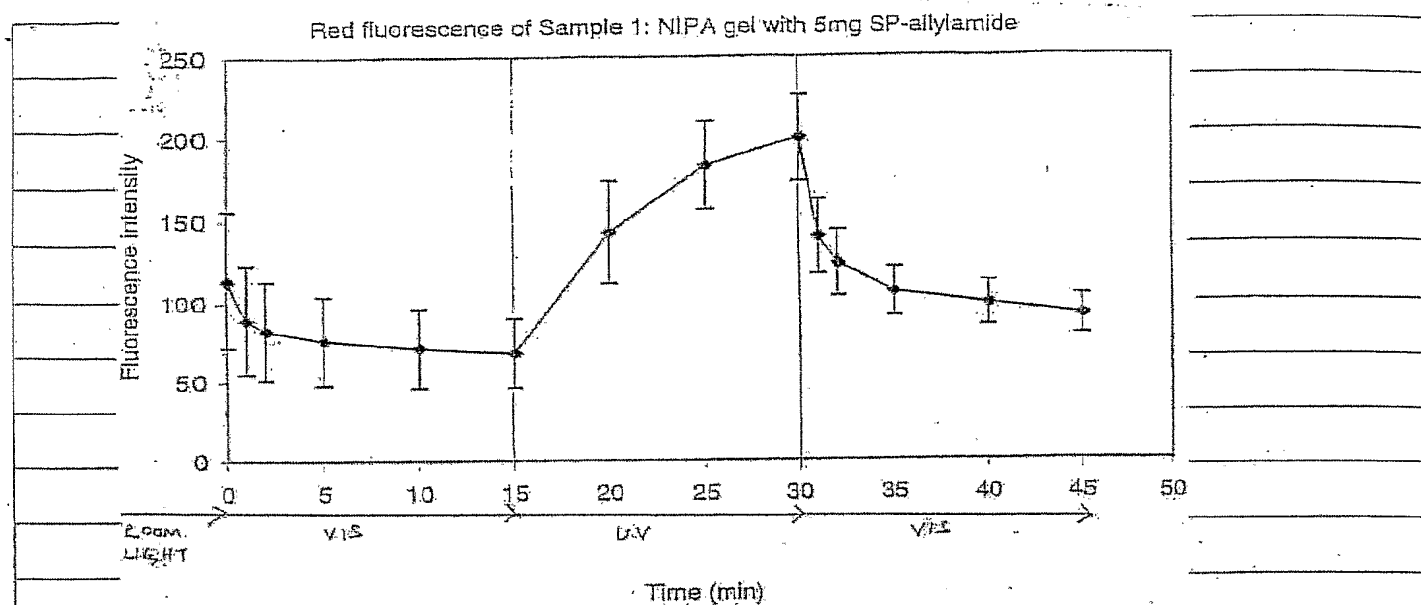
UV source was a hand-held
lamp in longwave setting.
This source was high pressure
mercury lamp with 515-560 nm
filters.

The UV lamp was turned off
during the 1 s. emission
reading.



VISIBLE
LIGHT
15 MIN.
515-560 nm

Continued on Page 8



Light condition	Time (min)	Average	Std. Dev.
Room Light	0	113.96	42.20
Vis 1 min	1	89.22	34.23
Vis 2 min	2	82.43	31.10
Vis 5 min	5	75.98	28.04
Vis 10 min	10	71.05	24.89
Vis 15 min	15	68.07	21.85
UV 5 min	20	142.42	31.17
UV 10 min	25	183.00	26.78
UV 15 min	30	199.76	26.42
Vis 1 min	31	139.51	22.70
Vis 2 min	32	123.15	20.17
Vis 5 min	35	105.63	15.08
Vis 10 min	40	98.50	13.60
Vis 15 min	45	91.09	12.38

The speaker switches between
open (fluorescent) and closed
(non-fluorescent) forms with
light.

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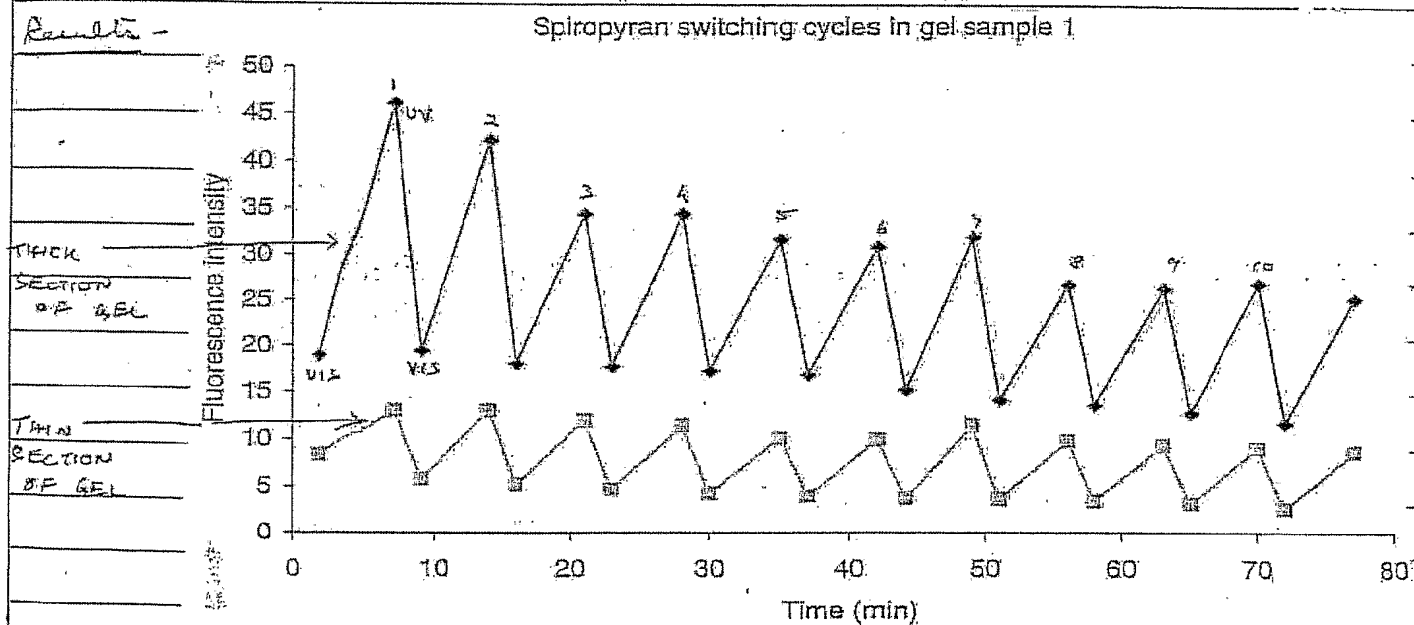
PROJ:

EXAMPLE 8

Aim - To study how many times the NIPA-SA gel could be switched back & forth with UV and vis light.

Sample used: Sample 1

Method: The gel was spread onto a glass slide, covered with water and irradiated with either UV (5 min) or vis (2 min) in between readings. 20X objective & 1 sec. exp. exposure used. A thick section and a thin section of the gel were examined using epifluorescence microscopy.



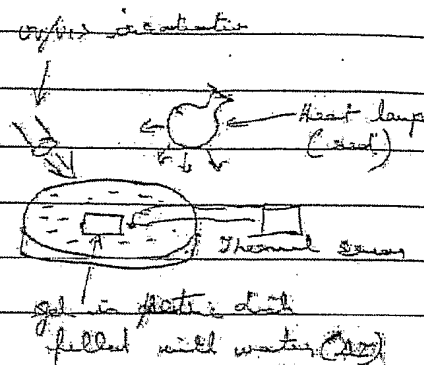
The spiropyran can be switched several times back & forth (at least 10). There is some degradation in its ability to open - may an effect of prolonged exposure to intense light.

Continued on Page:

EXAMPLE 9.

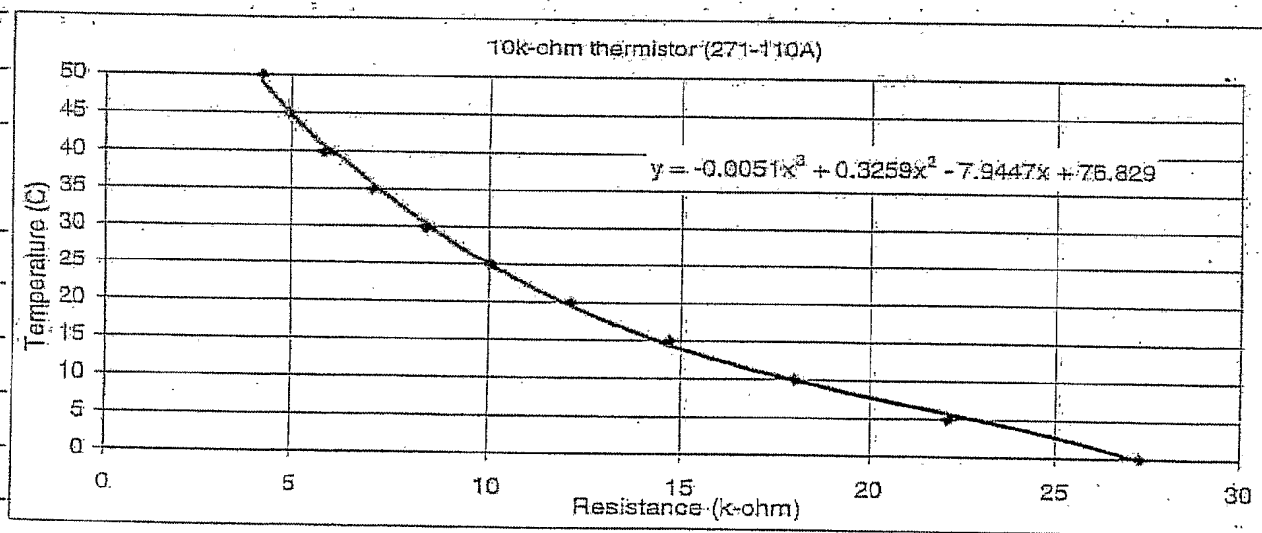
Aim - To find if the LCST of sample gel is affected by the wavelength of incident light.

Method - The experimental set-up is shown in the sketch. The % aggregation in the polymer gel was estimated visually as the temperature was either slowly increased (heat lamp on) or cooled (heat lamp off). Temperature was measured using a 10 k-ohm thermistor (271-110A)



which was placed near the gel in the beaker, and the resistance read off a voltmeter. Incubation was for 10 min before taking reading.

Calibration curve for thermistor -



Continued on Page 11

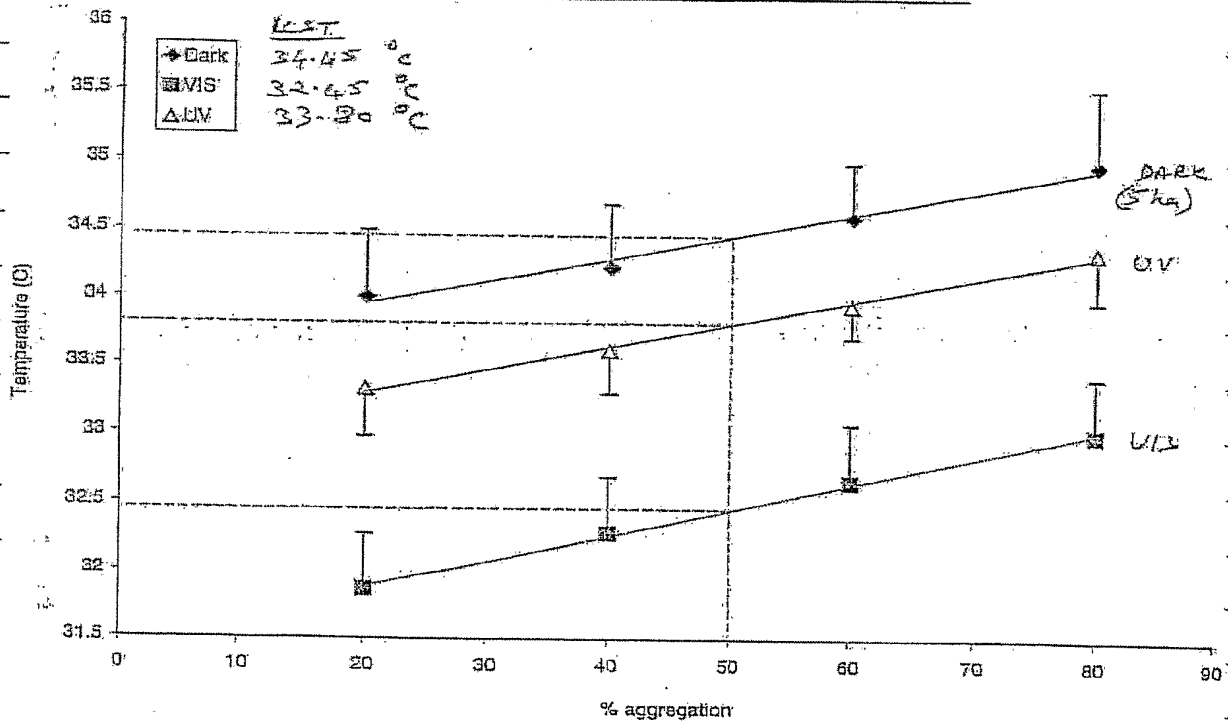
Results -

VIS						VIS						VIS			
% aggregation	k-ohms					% aggregation	Temperature deg. C					% aggregation	VIS		
	Trial 1	Trial 2	Trial 3	Trial 4	Trial 5		Trial 1	Trial 2	Trial 3	Trial 4	Trial 5			S.D.	
80	7.63	7.76	7.49	7.5	7.53	80	32.80276	32.41887	33.46325	33.03449	33.30673	80	33.00542	0.413882	
60	7.3	7.83	7.62	7.84	7.6	60	32.26788	32.15432	32.95708	32.87883	33.03449	60	32.65872	0.41421	
40	7.93	7.89	7.72	7.69	7.75	40	31.77846	31.92831	32.57253	32.68745	32.45797	40	32.25495	0.405698	
20	8.01	8.04	7.86	7.79	7.84	20	31.48078	31.38975	32.04113	32.30582	32.11655	20	31.86273	0.412777	

UV						UV						UV			
% aggregation	k-ohms					% aggregation	Temperature deg. C					% aggregation	UV		
	Trial 1	Trial 2	Trial 3	Trial 4	Trial 5		Trial 1	Trial 2	Trial 3	Trial 4	Trial 5			S.D.	
80	7.15	7.27	7.2	7.36	7.35	80	34.32104	34.33818	34.61825	33.97657	34.01835	80	34.35368	0.366029	
60	7.38	7.34	7.28	7.42	7.43	60	33.97857	34.05618	34.29804	33.73878	33.69928	60	33.95337	0.244515	
40	7.52	7.37	7.36	7.53	7.48	40	33.34581	33.63683	33.97657	33.30675	33.50248	40	33.61359	0.321896	
20	7.55	7.42	7.45	7.63	7.58	20	33.22975	33.79878	33.82043	32.91843	33.11207	20	33.32369	0.345823	

Dark						Dark						Dark			
% aggregation	k-ohms					% aggregation	Temperature deg. C					% aggregation	Dark		
	Trial 1	Trial 2	Trial 3	Trial 4	Trial 5		Trial 1	Trial 2	Trial 3	Trial 4	Trial 5			S.D.	
80	7.1	7.29	6.93	7.05	7.17	80	35.0249	34.25595	35.7292	35.18878	34.73979	80	34.98713	0.544083	
60	7.22	7.35	7.08	7.17	7.19	60	34.53744	34.01635	35.06881	34.73979	34.65872	60	34.60362	0.322249	
40	7.38	7.48	7.17	7.31	7.24	40	34.29604	33.50248	34.73979	34.17592	34.4559	40	34.23421	0.460381	
20	7.33	7.55	7.21	7.42	7.28	20	34.09605	33.30875	34.57782	33.73878	34.29604	20	34.00308	0.484859	

LCST measurements on Sample 1 polyNIPA-SP gel



If the gel was held at $\sim 33^\circ\text{C}$ it should be possible to switch it between aggregated and non-aggregated states.

Continued on Page

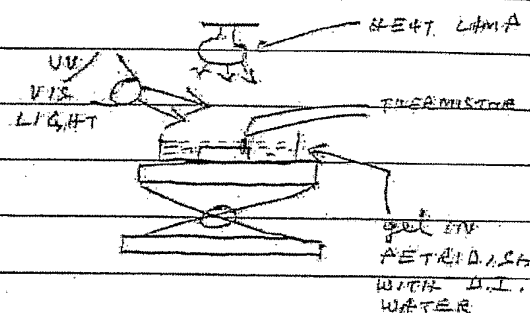
EXAMPLE 10

Aim - To switch the poly NIPAA-SP gel (sample 1) between aggregated and non-aggregated states using light while holding it at a temperature near its LCST.

Method - A slab of gel was soaked in D.I. water overnight in the dark. It appeared that most of the SP particles were removed from the gel and were suspended in the water. The gel was fully hydrated, pale yellow and transparent. The distance between the gel and

the heat lamp was used to precisely control the temperature.

Depending on the wavelength of irradiation, the distance of the gel from the heat lamp was adjusted to maintain the temperature.



The % aggregation was estimated visually by approximating the fraction of the gel that had turned from transparent to white.

The temperature was held at $33.2 \pm 0.1^\circ\text{C}$ (S.D. = 0.1°C) and the wavelength of light cycled between UV and VIS. This resulted in the gel aggregating (under VIS) and getting dehydrated (under UV).

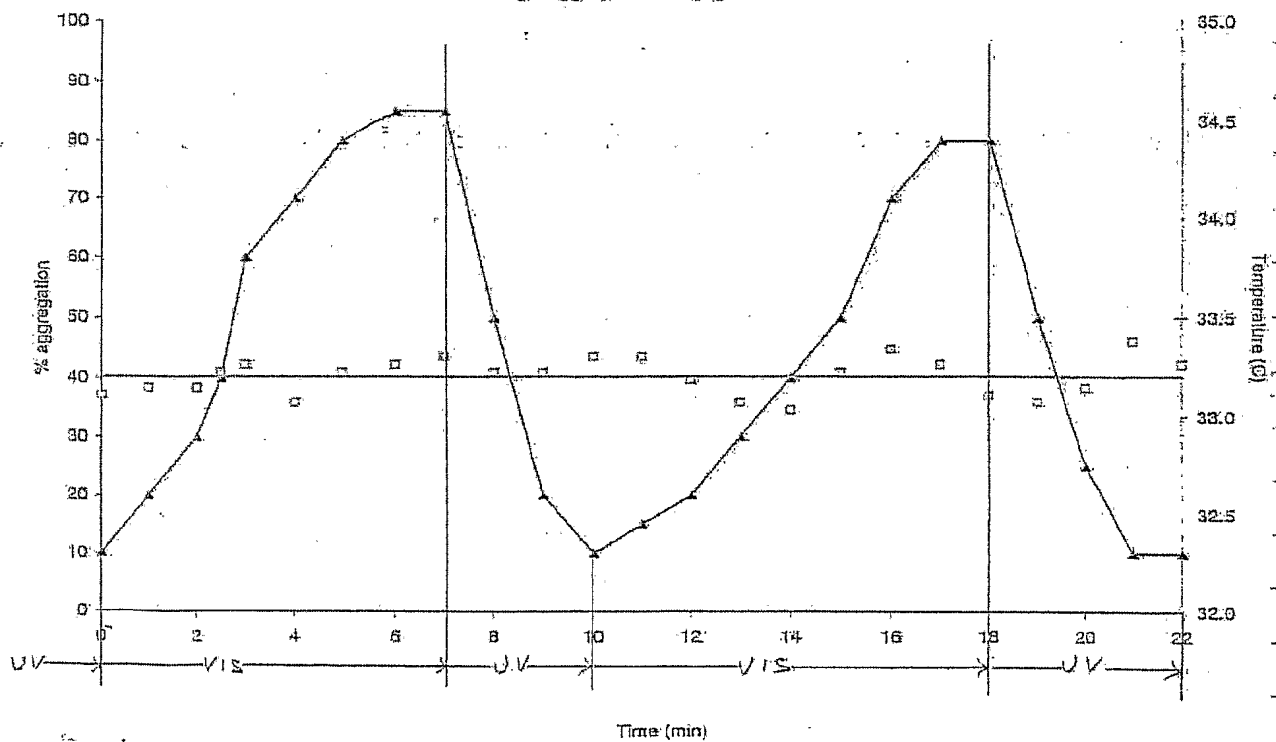
This is a demonstration of control of the LCST using light.

Continued on Page 12

Results

Light	Time (min)	Resistance (k-ohm)	Temperature (deg C)	% aggregated	Average Temperature (deg C)
UV	0	7.58	33.11	10	33.21
VIS	1	7.57	33.15	20	33.21
	2	7.57	33.15	30	33.21
	2.5	7.55	33.23	40	33.21
	3	7.54	33.27	60	33.21
	4	7.59	33.07	70	33.21
	5	7.55	33.23	80	33.21
	6	7.54	33.27	85	33.21
	7	7.53	33.31	85	33.21
UV	8	7.55	33.23	60	33.21
	9	7.55	33.23	20	33.21
VIS	10	7.53	33.31	10	33.21
	11	7.53	33.31	15	33.21
	12	7.58	33.19	20	33.21
	13	7.59	33.07	30	33.21
	14	7.60	33.03	40	33.21
	15	7.55	33.23	50	33.21
	16	7.52	33.35	70	33.21
	17	7.54	33.27	80	33.21
	18	7.56	33.11	80	33.21
UV	19	7.59	33.07	50	33.21
	20	7.57	33.15	25	33.21
	21	7.51	33.38	10	33.21
	22	7.54	33.27	10	33.21

Control of gel aggregation using light near the LCST



Continued on Page

EXAMPLE 11

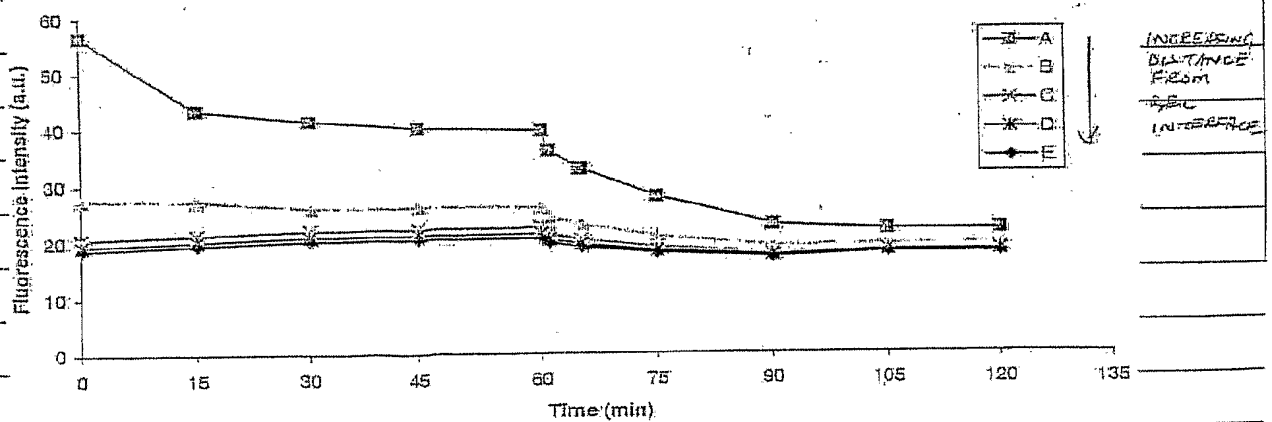
Aim - To examine the retention of GFP in SP-gels under UV & VCS

Method - GFP solutions were made by adding 50 μ l GFP (6 ng/ μ l) to 1 ml HEPES buffer to make a final concentration of $\sim 6 \mu$ M. Enhanced GFP has excitation peaks at 280, 400, 429 nm (lighter) and emission at 517 nm. Molecular weight of GFP = 31,000 g/mol and $E_{280\text{nm}} = 21050$.

Pieces of gel were soaked in the dark in the GFP solution for 30 min. A piece of the gel was then soaked into a 25 μ l micropipette tube and DI water was sucked into the tube after it. The gel/water interface was then examined using a 5x objective, FI/PI filter cube with a 5 sec integration time, and with a N2-1 and filter while being irradiated with either UV or VCS for 1 hour each.

Results -

Green fluorescence at different distances from gel

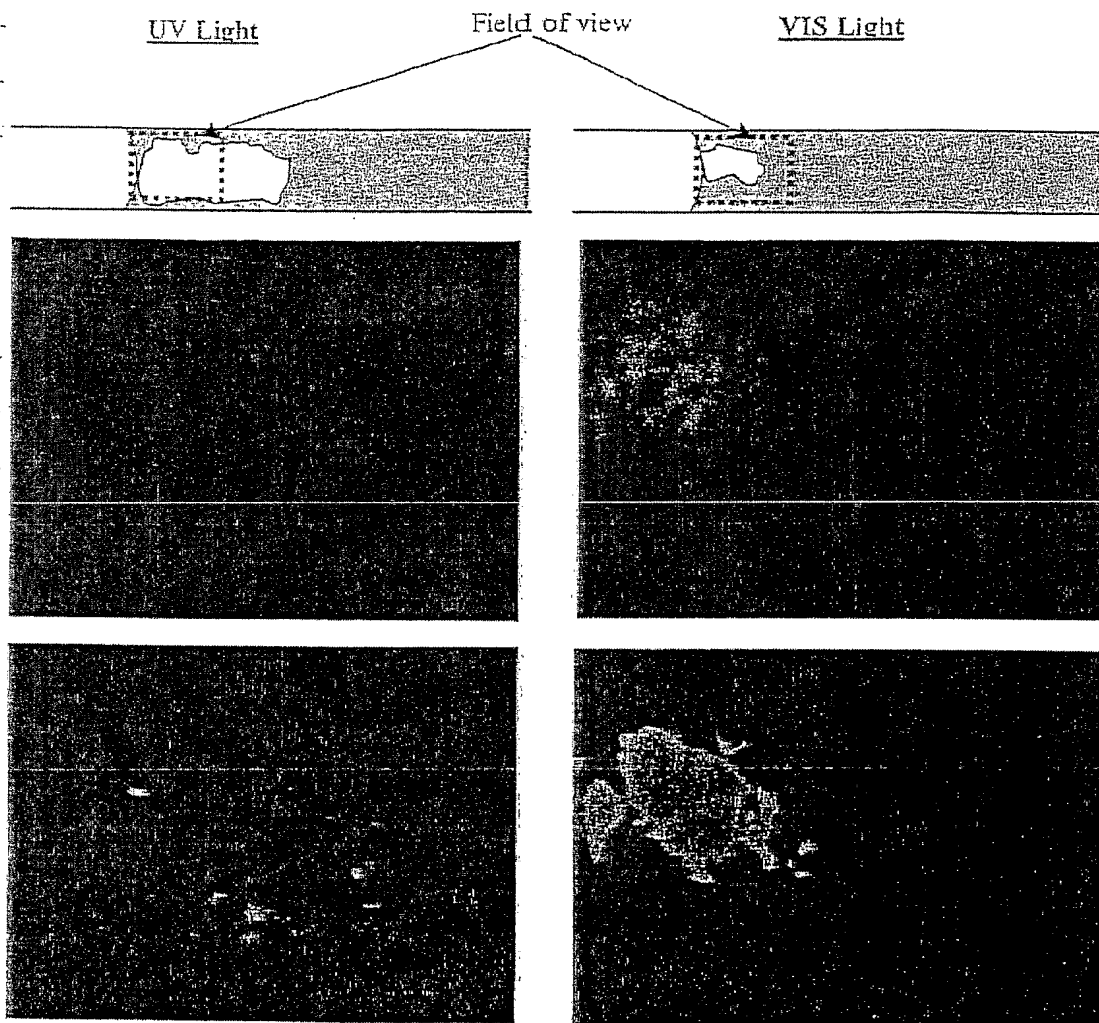


Continued on Page 15

PROJECT

Green and Red fluorescence images of gel under UV and VIS light irradiation.

Fluorescence images of PolyNIPA-spiro gel in ~1.2 mm dia. tube filled with water. The gel had been soaked in 6 μ M green fluorescent protein (GFP) solution for 30 min. At ~33°C, the gel could be switched between aggregated form (VIS light) and hydrated form (UV light) for 2 cycles. After that the photoswitching stopped, and the spiropyran could not be "closed" with VIS light. Possibly, the GFP is reacting with the open form of spiropyran over time and preventing it from being closed with light.

GREEN FL.

OVERALL 74.66 \pm 7.67
LEFT 74.64 \pm 4.29
RIGHT 76.73 \pm 3.99

GREEN FL.

OVERALL 74.94 \pm 22.21
LEFT 133.19 \pm 13.51
RIGHT 65.95 \pm 3.14

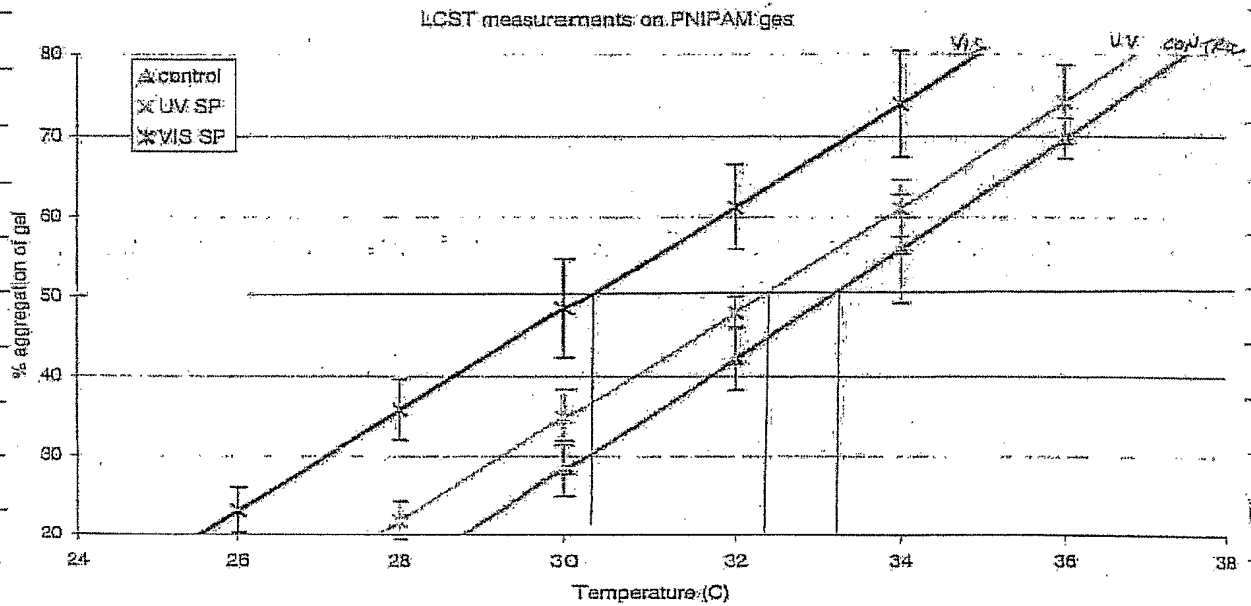
1 Page

EXAMPLE 12

Aim - To examine the effect of temperature on LCST of new samples of SP-gels.

Method - The new samples of the SP-gel did not have particles of undissolved species. They were yellow compared to the white / transparent control gels. A control gel was heated simultaneously to the SP-gel. Method same as on page 10.

Results -



Continued on Page

Samples received from Prof. Zhilbing Au along with light scattering results

Sample 1. (10-14-03)

0.6 g NIPA, 0.006 g spiropyran and BIS 0.013g into 25 ml DI water. The reaction was taken at temperature at 70 °C under N₂ gas for 4 hours. Particle size (~650 nm at 23 °C)

Sample 2.

0.4 g NIPA, 0.006 g spiropyran and BIS 0.013g into 25 ml DI water. The reaction was taken at temperature at 70 °C under N₂ gas for 4 hours. (~550 nm 23 °C)

Sample 3.

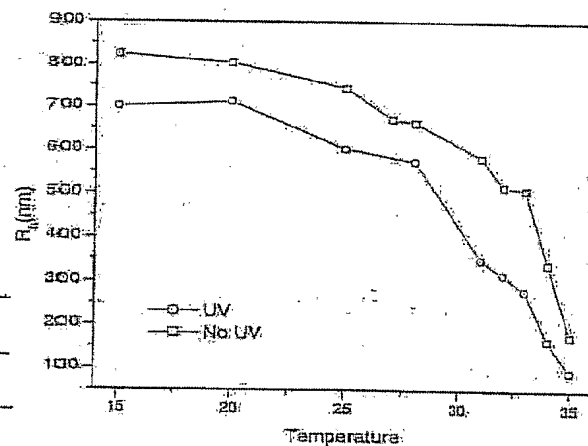
0.4 g NIPA, 0.006 g spiropyran and BIS 0.013g into 25 ml DI water. The reaction was taken at temperature at 70 °C under N₂ gas for 4 hours. (This reaction was under UV light) (~470 nm 23 °C)

Sample 4.

5% NIPA and 0.3% Bis with 1.35 mg spiropyran gel.

Sample 5.

5% NIPA with 0.3 % Bis Gel.



Light scattering results: The message should under UV white message

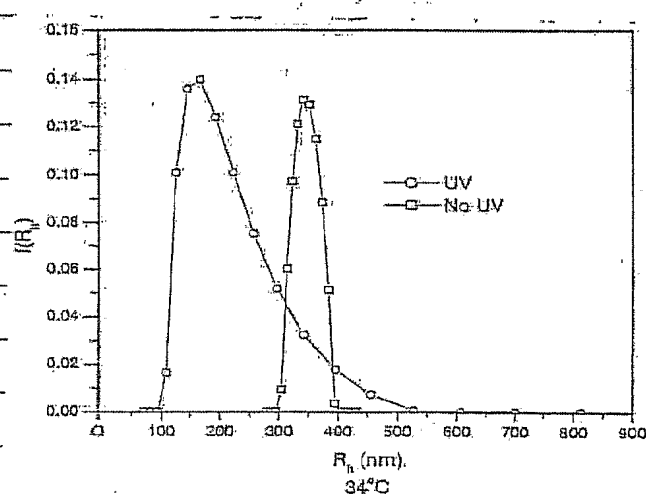
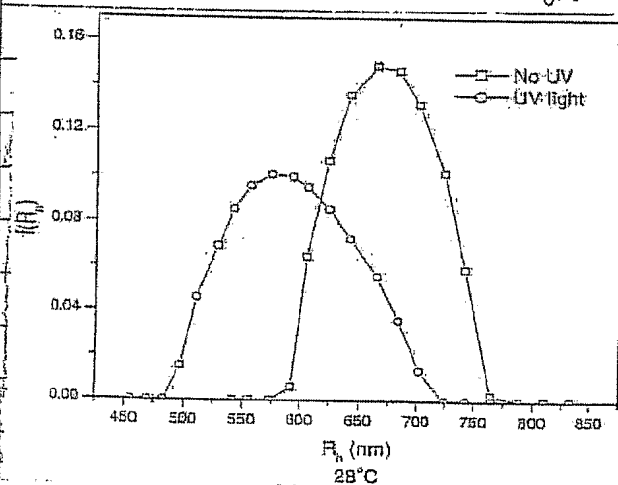
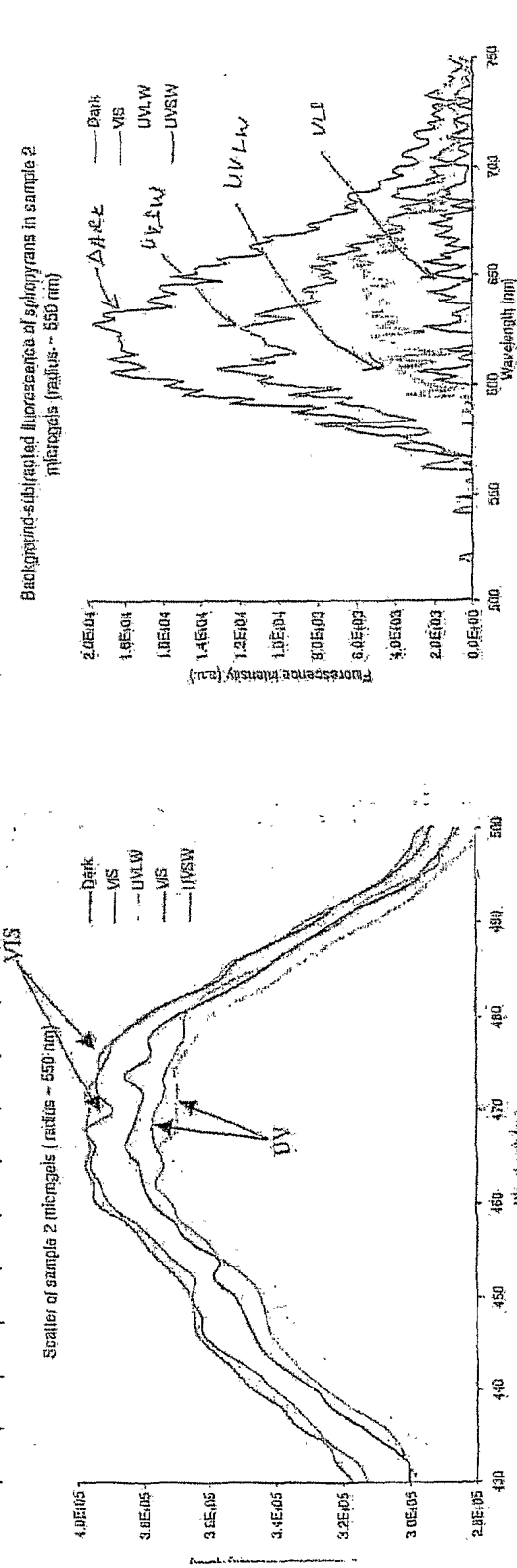


Illustration	Spectra	Sample 2	Photocopying	microgels	CR = 550 nm at 23 °C
Sample	has been diluted by adding 60 µl sample to 2 ml of water.				
Spectrometer settings	260: 4000000	2 mm	2 mm	2 mm	2 mm / 0.2 sec



Light scatter may confirm Zhibing's finding that the microgels shrink under UV irradiation. I still need to try to calibrate the scatter in terms of particle size.

Results from Prof. Zhilwin Hu

The microgel expanded on average of $\sim 10\%$ upon UV irradiation. This is a large change for a v. spirogyran contraction.

 22°C

Also, UV irradiation at 36°C caused the claudrons in the gel to go away.

 $d_{UV} = 1.10$
 d

Both these results confirm our bulk measurements

shown on pages 10-16

 32°C
 $d_{UV} = 1.06$
 d

Anomalous behavior of spirogyran-gels

These findings confirm that:

① Microgels (polymerized at room temperature) expand under U.V. irradiation.

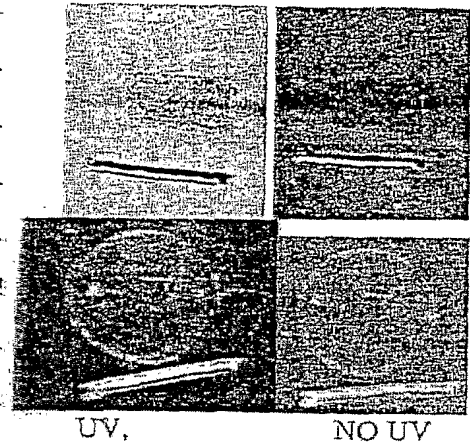
② Microgels (polymerized at 70°C , under visible light) expand under U.V.

 36°C

③ Microgels (polymerized at 70°C , under UV or in the dark) shrink under U.V. irradiation.

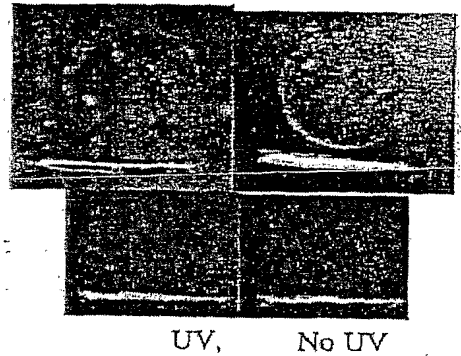
 $d_{UV} = 1.10$
 d

Therefore, it is likely that the polymerization condition affect the spirogyran/polymer and cause it to be organized in different ways.



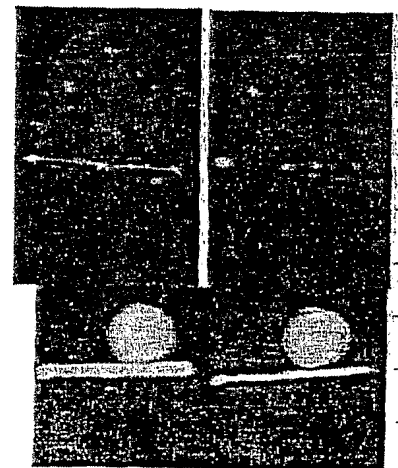
UV,

NO UV



UV,

No UV



Continued on Page

Electrophoresis Theory

Electrophoretic mobility $u = v/E = (\text{cm}^2/\text{s V})$

Zeta potential $\zeta = \phi(R') = \frac{q'}{4\pi\epsilon_s\epsilon_0 R'} \frac{1}{(1 + \kappa R')^{1/2}}$ (V)

Relationship between electrophoretic mobility and zeta potential:

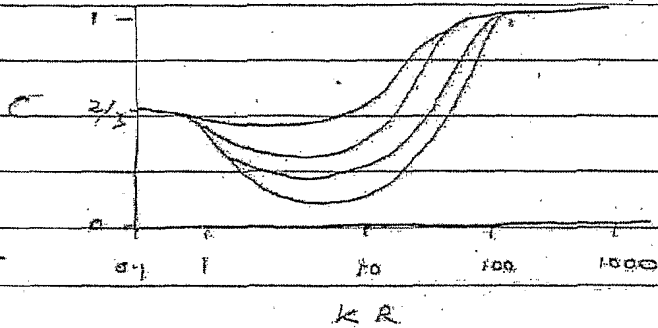
$$\zeta = \frac{c}{\epsilon_s \epsilon_0} \eta u \quad (\text{V})$$

Hückel eqn.

$$C = 2/3 \text{ at}$$

$$\kappa R \ll 1.$$

Large double layer compared to particle radius

Helmholtz-Smoluchowski

$$C = 1 \text{ at } \kappa R \gg 1$$

Double layer small compared to particle radius

Electroosmosis Theory

$$\frac{dV}{dt} = v A = \eta E A = \epsilon_s \epsilon_0 \frac{\zeta}{\eta L} \pi R^2 \phi_{s0}$$

Constants used

$$\eta = 0.01 \text{ Pa}\cdot\text{s} = 0.001 \text{ Pa}\cdot\text{s}$$

$$\epsilon_s = 78.5$$

$$\epsilon_0 = 8.8542 \times 10^{-12} \text{ C}^2 \text{ N}^{-1} \text{ m}^{-2} \text{ or } \text{C}^2 \text{ J}^{-1} \text{ m}^{-1}$$

$$\text{Volt} = \text{Joule} / \text{Coulomb} = \text{N m} / \text{Coulomb}$$

Continued on Page

EXAMPLE 13

Aim: To set up a fiber optic detection system for capillary electrophoresis

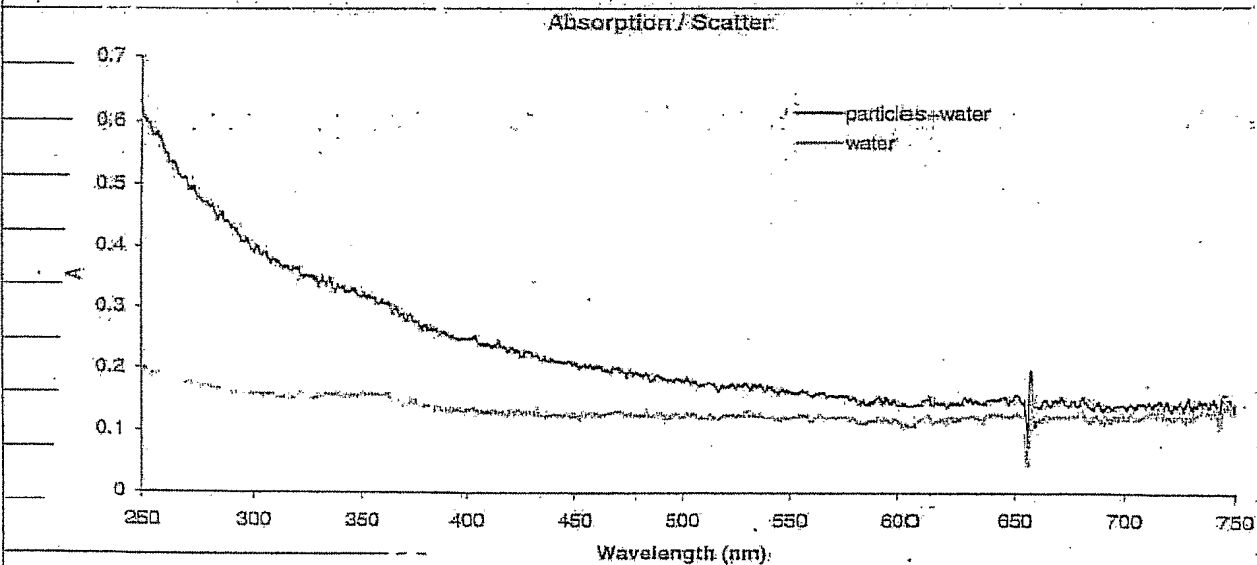
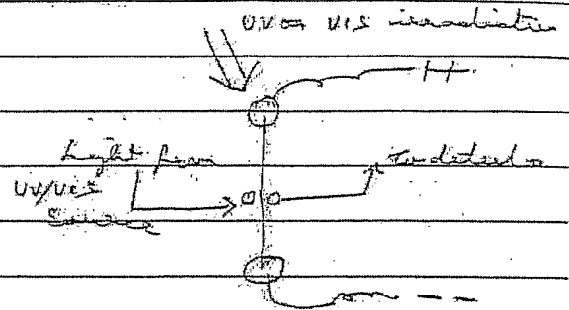
Method: A fiber optic system to detect the amount of particles in a microcapillary was set up as shown in the figure.

The capillaries used were

150 mm total length and 75 mm distance to the point of detection. Capillary was of 178 μm I.D. and 337 μm O.D.

Detection was carried out by

measuring the absorption/scatter at 200 nm compared to 200 nm



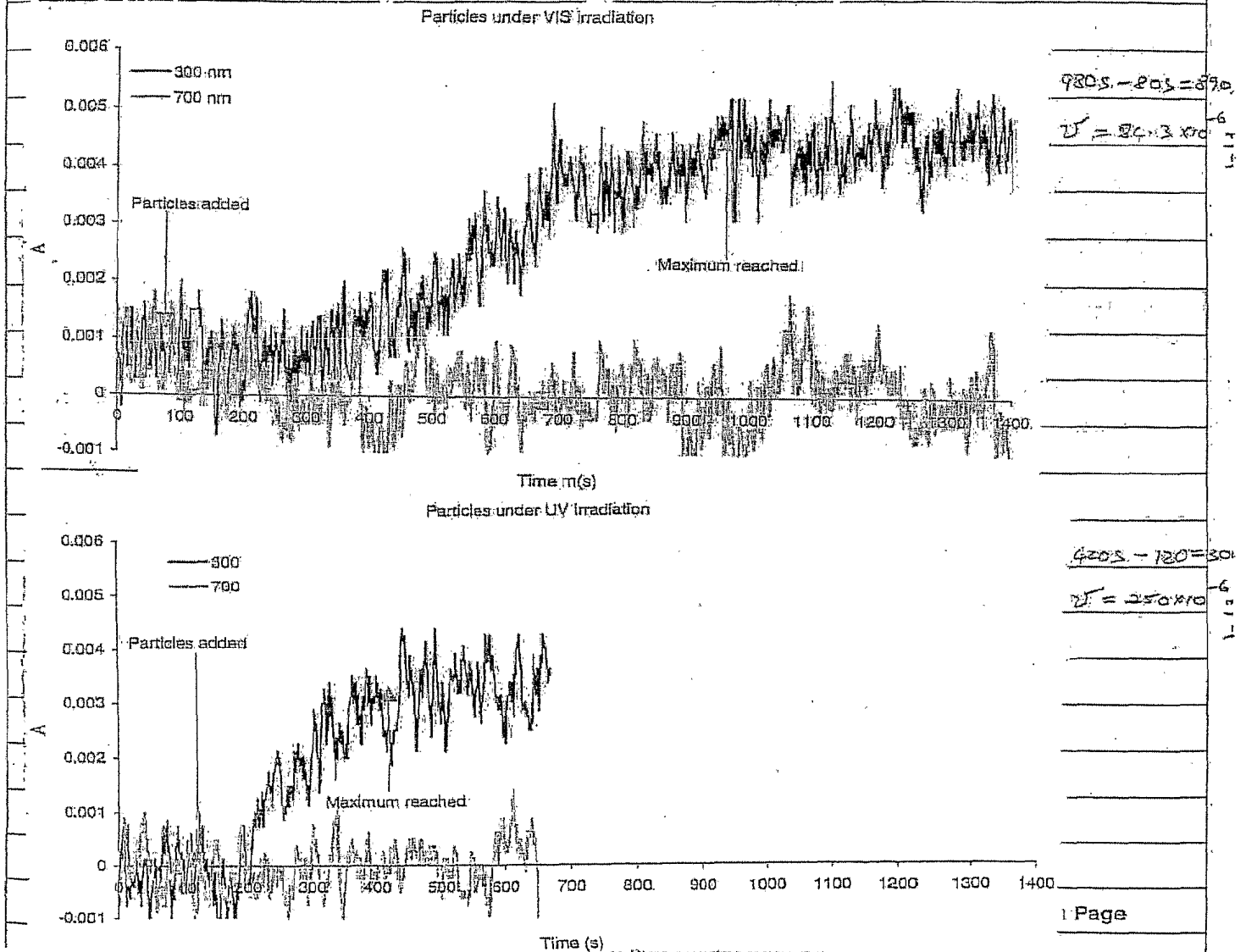
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Send and Understood: RUC

EXAMPLE 14

Aim: To measure velocity of UV and VIS irradiated particles in the electrophoretic set-up.

Method: In order to be in the Helmholtz-Smoluchowski regime 30 mM NaCl was used as a buffer. This led to $\kappa = 1.76 \text{ nm}^{-1}$ and $\kappa R = 313$. Potential of 750 V was applied across 150 mm. Particles were irradiated with UV or VIS for 10 min before measurement.

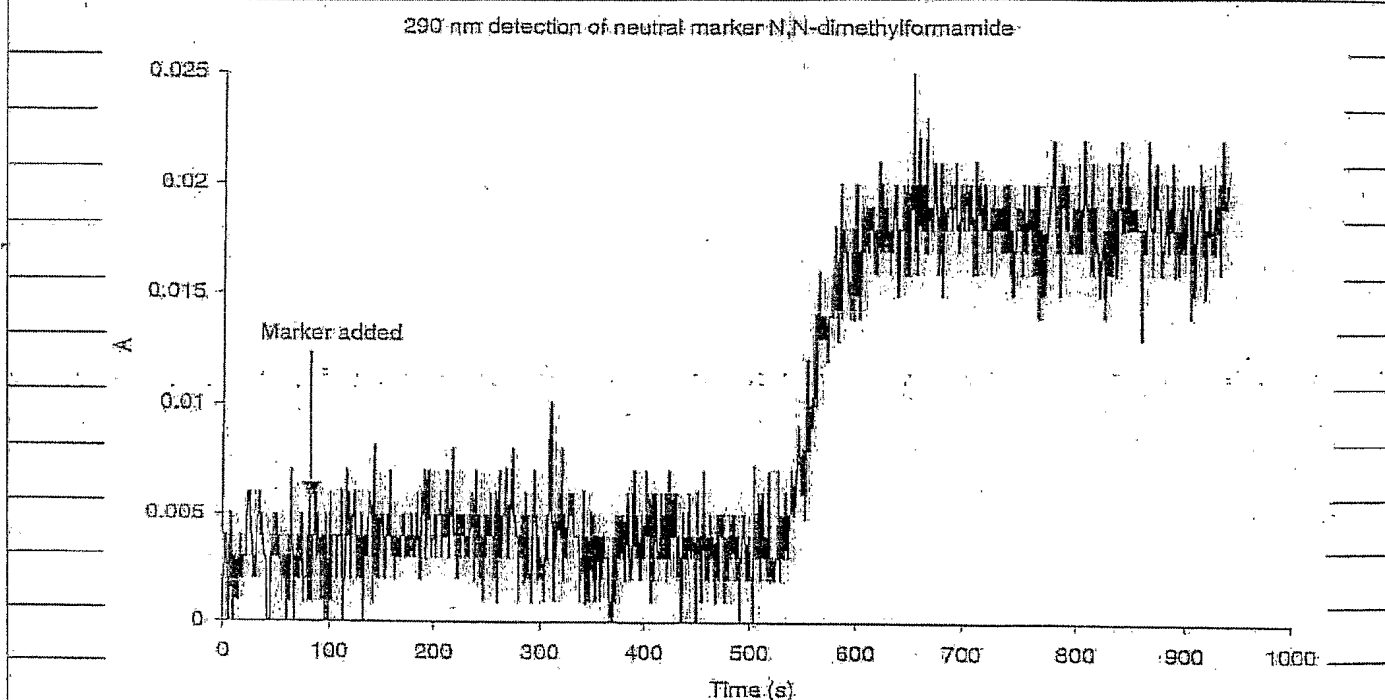


EXAMPLE 15

Aim - To measure the electroosmotic flow rate in the electrophoresis set-up using *N,N*-dimethylformamide as a neutral marker.

Method - The set-up was the same as described on pg 22. Potential of 1600V was applied across 150 mm tube. Absorption at 290 nm was used to detect the presence of DMF (M.W. 73.09) in 30mM NaCl buffer.

Results -



The DMF took $590 - 120 = 470$ sec to reach the window (75 mm length) leading to an electroosmotic velocity of 159.8×10^{-6} m/sec. This leads to a calculated value of zeta potential of 22.3 mV. The equivalent zeta potential due to a 750V potential difference would be 74.9×10^{-6} m/sec.

Continued on Page

Electrophoresis calculations:

	V_{12}	UV
Total velocity V_T	$84.3 \times 10^{-6} \text{ m/s}$	$250 \times 10^{-6} \text{ m/s}$
Electroosmotic velocity V_{EO}	$74.9 \times 10^{-6} \text{ m/s}$	$74.9 \times 10^{-6} \text{ m/s}$
Electrophoretic velocity V_{EP}	$9.4 \times 10^{-6} \text{ m/s}$	$175.1 \times 10^{-6} \text{ m/s}$
Zeta potential	2.7 mV	50.4 mV
Since $1/\kappa \ll R$ we can assume $R \sim R'$ and $q \sim q'$, then		
Approximate surface charge	0.001 C/m^2	0.020 C/m^2

For comparison, on a solid surface (SP conc = $0.85 \text{ species/nm}^2$, 10% open)		
Solid surface charge via AFM	0.014 C/m^2	0.028 C/m^2

Assuming that 10% of the species are open, and no rearrangement of the polymer chain occurs, then the surface concentration of species may be estimated at $1.17 \text{ species/nm}^2$ ($0.85 \text{ nm}^2/\text{species}$)

Assuming that 10% of the species are open, and only open species aggregate on the particle surface via polymer chain movement, then the surface concentration of species may be estimated to be $0.117 \text{ species/nm}^2$ ($8.5 \text{ nm}^2/\text{species}$).

These charge & concentration estimates are based on a hard, homogeneous sphere model. However in our system it is very likely that internal charges can impact the zeta potential either by direct interaction with the electric field, or by coupling to the surface charge by capacitive image charge effects.

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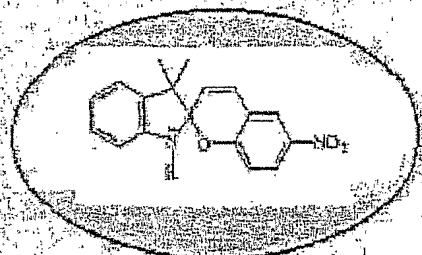
Points to consider regarding use of hydrogels in drug delivery

1. Biodegradability: NIPAAm-based polymers are toxic polymers that are non-biodegradable. They do not form biocompatible or pharmacologically inactive products. An obvious limitation of the normal PNIPAAm hydrogel is its poor mechanical property in a highly swollen state when used as a drug delivery device. Because of its non-biodegradable nature, surgical removal after drug release is desirable.
2. Water content: Hydrogels may absorb up to thousands of times their dry weight in water.
3. Pore size: Labeled molecular probes of a range of molecular weights (MWs) or molecular sizes are used to probe pore sizes in hydrogels. Fluorescein-labeled dextrans are usually used.
4. Volume change: Some hydrogels can reversibly swell or shrink up to 1000 times in volume in response to thermal, pH, and electrically driven stimuli.
5. Charged particles: It has been demonstrated that particles with a diameter up to 10 μm are able to penetrate into the annexes of the skin, i.e. sweat and sebaceous glands and hair follicles (Rolland et al., 1993). The accumulation of triptorelin loaded nanoparticles could create a triptorelin reservoir into the skin. From this reservoir the drug could slowly be released to reach the systemic circulation, generating appropriate plasmatic levels for long time periods. Charged particles are fine for dermal application, however, positively charged surfaces exposed to blood may cause adverse reactions with platelets. Cationic polymers form complexes with anionic DNA and can be used as non-viral vectors for gene therapy.
6. Advantage of responsive nanoparticles: Very quick response to stimuli as compared to polymer membranes.
7. What can be encapsulated :
 Drugs - Vitamin B12, heparin on the surface of blood contacting devices, insulin, interferon, anti-glaucoma epinephrine
 Dyes - Methylene blue,
 Enzymes - Immobilized asparaginase
 Antibodies - rabbit IgG
 DNA - reversible cationic gels permit endocytosis followed by intracellular release.

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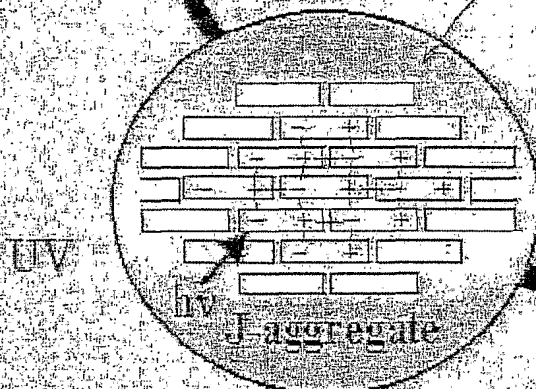
Explanation for why some hydrogels swell under UV & why some shrink

Microscopic structure



VIS

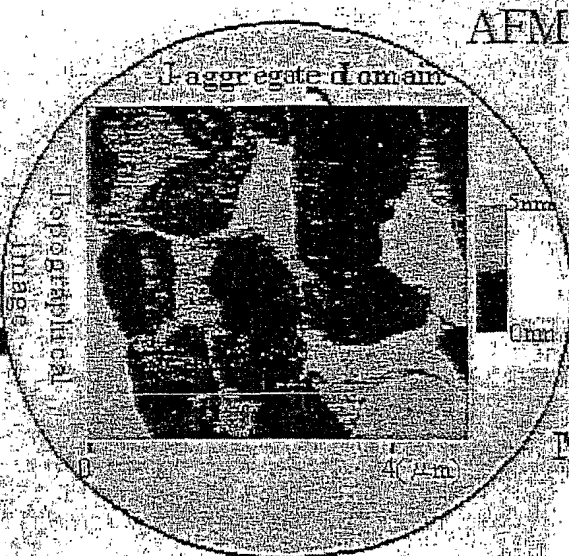
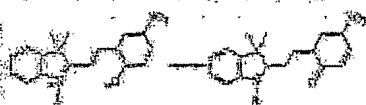
SPIRO-TEMPLATES AROUND WHICH POLYMERIZATION OCCURS



UV

UV

J-aggregate



AFM

J-aggregate domain

Topographical image

4 μm

IV

Our current hypothesis for the anomalous behavior of the gels upon UV irradiation is that:

- ① Gels formed at 70°, in the dark or under U.V. have some of the spiropyran arranged into ordered aggregates such as J-aggregates.
- ② These gels expand under VIS when the spiropyran closes. Under UV the spiropyran open reforming the aggregate & shrinking.
- ③ Gels that are not formed at 70° & under UV or dark, do not have J-aggregates and hence swell under U.V. due to the increased polarity attracting water molecules.

Continued on Page

It will be apparent to those skilled in the art that various modifications and variations can be made in the present invention without departing from the scope or spirit of the invention. Other embodiments of the invention will be apparent to those skilled in the art from consideration of the specification and practice of the invention disclosed herein. It is intended that the specification and examples be considered as exemplary only, with a true scope and spirit of the invention being indicated by the following claims.

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